

1 **Chapter 1: Framing and Context**

2

3 **Coordinating Lead Authors:** Almut Arneth (Germany) and Fatima Denton (Gambia)

4 **Lead Authors:** Fahmuddin Agus (Indonesia), Aziz Elbehri (Morocco), Karheinz Erb (Italy), Balgis Osman
5 Elasha (Cote d'Ivoire), Mohammad Rahimi (Iran), Mark Rounsevell (United Kingdom), Adrian Spence
6 (Jamaica) and Riccardo Valentini (Italy)

7 **Contributing Authors:** Peter Alexander (United Kingdom), Yuping Bai (China), Ana Bastos (Portugal),
8 Niels Debonne (The Netherlands), Thomas Hertel (United States of America), Rafaela Hillerbrand
9 (Germany), Baldur Janz (Germany), Ilva Longva (United Kingdom), Patrick Meyfroidt (Belgium), Michael
10 O'Sullivan (United Kingdom)

11 **Review Editors:** Edvin Aldrian (Indonesia), Bruce McCarl (United States of America), Maria Jose Sanz
12 Sanchez (Spain)

13 **Chapter Scientist:** Yuping Bai (China), Baldur Janz (Germany)

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1 1.1 Executive summary

2 **The current geographic spread of the human use of land, and the large and rapidly increasing**
3 **appropriation of multiple ecosystem services are unprecedented in human history** (*very high*
4 *confidence*). Three-quarters of today's global, ice-free land surface is affected by human activities. The
5 area of cropland, 12–14% of the land area, has increased by 15% since 1960 alone. Approximately 60–85%
6 of the forested area is managed. Humans use one quarter to one third of terrestrial potential net primary
7 production for food, fibre and energy (*high confidence*). In the past 50 years, global per capita food
8 consumption increased by one fifth, consumption of dairy products and vegetable oils has almost doubled,
9 meat consumption has almost tripled, and wood harvest has increased by one third. At the same time, global
10 fertiliser use increased by 500%, and the world's irrigated cropland area roughly doubled (*high confidence*)
11 with irrigation accounting for 70% of total human water use (*medium confidence*). There is large variability
12 between countries in these global average trends, which reflects differences in wealth and degree of
13 industrialization {1.2.2.2, 1.2.2.3, 1.3.1.4, 1.3.1.5}.

14 **Human over-exploitation causes rapid depletion of land resources, which in future will be further**
15 **exacerbated by climate change** (*virtually certain*). The use of land and freshwater for food, fibre, timber
16 and energy sustains our livelihood. Yet an estimated 821 million people are currently still undernourished,
17 while conversion of tropical forest and savannahs into cropland continues, the rate of ecosystem degradation
18 5–10 million ha⁻¹, agricultural intensification causes substantial water pollution and locally up to 75% of
19 species have been lost. Large challenges exist in achieving more sustainable land and water use in view of
20 continued population growth, accelerating demand for multiple ecosystem services and the increasing
21 complexity in how the underlying socio-economic drivers interact (such as trade patterns, transportation,
22 land ownership, urbanization or migration). These challenges will be exacerbated by detrimental climate
23 change impacts in many regions (*high confidence*), which already reduce crop yields, freshwater availability
24 and biodiversity (*high confidence*) {1.2.2.1, 1.2.2.3, 1.3.1.3, 1.3.1.4, 1.3.1.5, 1.4.4}.

25 **Further inaction in the rapid reduction of anthropogenic greenhouse gas emissions raises the**
26 **prospect of relying on drastic, land-based, climate change mitigation measures in order to achieve**
27 **the Paris Climate Agreement** (*high confidence*). **This will jeopardise achievement of other sustainable**
28 **development goals that depend on land-based, ecosystem services** (*high confidence*). Mitigation costs
29 increase with stringent mitigation targets and over time, with sources of uncertainty being the future
30 availability, cost and performance of technologies or lags in decision making (*high confidence*). However,
31 land management practices can contribute to emissions reductions (*high confidence*), with an estimated
32 total equivalent up to 15–30% of today's fossil fuel emissions achievable over the coming few decades
33 (*medium confidence*). These measures can be cost-efficient if they account for the regional context. There
34 is *very high confidence* that the measures to achieve these emission reductions would have co-benefits for
35 soils, water use or biodiversity. The already existing large pressure on land ecosystems will with *high*
36 *confidence* be further exacerbated if additional large-scale climate change mitigation efforts on land are
37 enacted {1.3.2, 1.3.1, 1.2.2.3, 1.4.2.1}.

38 **Adaptation strategies can produce mitigation co-benefits, promoting the effectiveness and feasibility**
39 **of both adaptation and mitigation** (*high confidence*). Adaptation is increasingly linked to societal
40 resilience and to broader sustainable development goals. Adaptation is increasingly viewed as requiring
41 shifts towards integrated and system-based governance approaches combining technology, economics and
42 institutional innovations (*high confidence*). Many agricultural and forestry adaptation options have
43 synergies with mitigation, including reduced soil erosion (which reduces carbon losses), reduced leaching
44 of nitrogen and phosphorus (which maintains and enhances productivity), enhanced soil moisture (which

1 also maintains or enhances productivity), or modification of microclimate. Combining both food production
2 and consumption pathways for adaptation can also lower mitigation challenges and costs (*high confidence*)
3 {1.4.4, 1.5}.

4 **Given the increasing demands for land resources, land management to safeguard food and**
5 **freshwater supply under a changing climate has by far the largest potential if, simultaneously,**
6 **ambitious actions are also taken on the consumption side** (*high confidence*). Land productivity can be
7 enhanced sustainably in several ways including the promotion of crop genetic diversity, the preservation
8 and protection of pollination services under climate change, soil management and conservation agriculture.
9 Reduction of food waste and losses along the supply chain and on the consumer side (estimated as more
10 than 30% of harvested materials), and shifts of diets towards a globally equitable supply of nutritious
11 calories all have demonstrable positive impacts on land use (*high confidence*). Estimates of cost/efficient
12 and sustainable greenhouse emissions reduction potential on land might be tripled (*medium confidence*) and
13 pressure on the expansion of crop or pasture area substantially reduced (*high confidence*) or even reversed
14 (*medium confidence*) if food demand-side measures are also taken {1.4.1, 1.4.2}.

15 **If sustainability criteria are considered in the global trade of land and land-based commodities, this**
16 **can reduce local vulnerabilities to climate and socio-economic changes** (*high confidence*). Large
17 differences exist between world regions in food production, degree of desertification and degradation, and
18 recovery from past over-use. Both local action and global trade in agricultural and forestry commodities
19 can enhance local food, timber or bioenergy supply and thus also contribute to food security and land
20 restoration (*very high confidence*). Trade offers many opportunities, but can lead to land use displacement,
21 if changes in demand for food, timber or bioenergy in one region are met from unsustainable production
22 elsewhere, with unintended side-effects on biodiversity loss and supply of ecosystem services in the
23 displaced production areas (*high confidence*). Unintended side-effects also include large-scale change in
24 land ownership which can threaten local communities' land rights (*medium confidence*). Ecosystem
25 services and societal impacts embodied in trade need, therefore, to be considered in the assessment of
26 sustainable land management, mitigation and adaptation, the associated costs of these actions and the
27 implications for decision making {1.3.1.5, 1.4.1, 1.4.2, 1.3.1}.

28 **The response to climate change can be facilitated by cross-sectoral policies, that account for systemic**
29 **understanding and multiple actors, including indigenous and local knowledge** (*high confidence*). As
30 food, energy and water security rank high on the Agenda 2030 for Sustainable Development, the promotion
31 of synergies between sectoral policies is seen as effective strategies necessary to mitigate against the
32 challenges of climate change, and to bring greater coordination among actors (policy makers, private actors,
33 and land managers). Appropriate approaches include implementation of systemic, nexus approaches such
34 as the socio-ecological systems (SES) frameworks applied to analyse how institutions affect human
35 incentives, actions and outcomes. Adaptation or resilience pathways using the SES framework require the
36 inclusion of indigenous and local knowledge for trust building for effective collective action. Alternatives
37 to the sector-specific governance of natural resource use and context specific actions at regional and sub-
38 regional levels can enhance land use in an overall fair and equitable way, with climate change mitigation,
39 or adaptation being positive side-effects {1.5}.

40

1 **Decision makers are faced with the task of developing and implementing climate policies informed**
2 **in part by incomplete information, with unknowns and uncertainty to varying degree. Advances in**
3 **futures analysis and modelling that better account for full environmental costs and non-monetary**
4 **values in human behavioural processes would provide a more complete knowledge base for decision**
5 **making** (*high confidence*). Differences in land use change scenarios arise as much from variations in
6 present-day baseline datasets, thematic land cover classes and modelling paradigms as they do from socio-
7 economic assumptions underpinning scenarios (*medium confidence*). The most commonly used approach
8 to represent decision-making in global scenarios is through economic optimization. This limits the capacity
9 of global models to account for the human dimensions of land systems including equity, fairness, land
10 tenure and the role of institutions and governance, and therefore the use of these models to quantify
11 transformative pathways, adaptation and mitigation (*high confidence*). Pathways analysis to evaluate how
12 desirable futures (i.e., climate change mitigation targets, SDGs) might be achieved in practice is highly
13 relevant in support of policy, since it outlines sets of possible actions and decisions. The identification of
14 societal and environmental co-benefits and trade-offs as part of pathways analysis implies the need to
15 consider the wider environmental and societal aspects when exploring uncertain futures (*high confidence*).
16

17 **1.2 Introduction and scope of the report**

18 **1.2.1 Objectives and scope of the assessment**

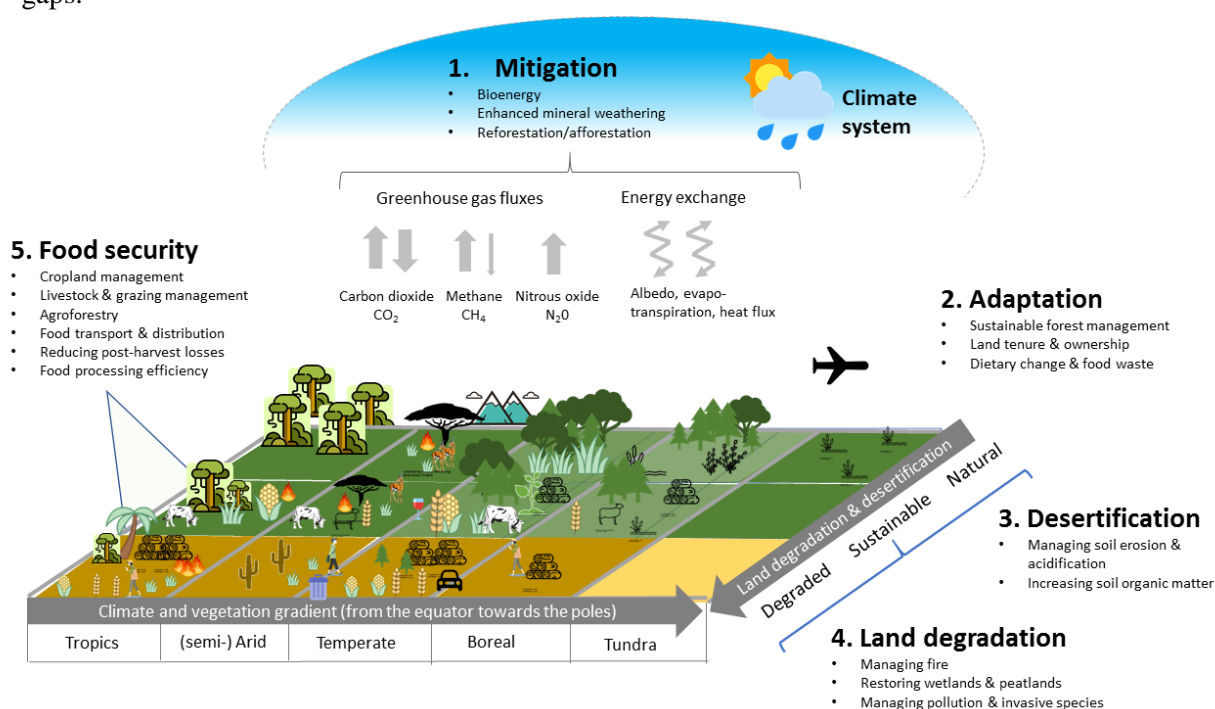
19 Land provides the basis for our livelihoods through the supply of food, freshwater, multiple other ecosystem
20 services and biodiversity (see Cross-Chapter Box 7: Ecosystem services, Chapter 7) (Mace et al. 2012;
21 Hoekstra and Wiedmann 2014; Newbold et al. 2015; Runting et al. 2017; Isbell et al. 2017). Enhancing
22 food security and reducing malnutrition whilst also reversing desertification and degradation are
23 fundamental societal challenges that are being increasingly aggravated by the need to both adapt to and to
24 mitigate against climate change impacts (FAO, IFAD, UNICEF, WFP and WHO, 2018). Climate change
25 will exacerbate further the diminishing land and freshwater resources and biodiversity loss, which will
26 intensify societal vulnerabilities, especially in regions where economies are highly dependent on natural
27 resources as the basis.

28 Land use is a significant net contributor to greenhouse gas emissions and climate change (Ciais et al. 2013a;
29 Smith et al. 2014; Tubiello et al. 2015; Le Quere et al. 2018). Yet land use is increasingly discussed as
30 providing part of the solution to climate change. A range of different climate-change mitigation options on
31 land are being debated, as well as their environmental and societal implications (Humpenoder *et al.*, 2014;
32 Bonsch et al. 2016; Mouratiadou et al. 2016; Kreidenweis et al. 2016; Griscom et al. 2017a; Sanz-Sanchez
33 et al. 2017; Meyfroidt 2018; Rogelj et al. 2018a)(see Chapter 6). Land plays a prominent role in many of
34 the Nationally Determined Contributions (NDCs) of the parties to the UNFCCC Paris Agreement. In the
35 current NDCs, the relative emission reductions from land-related activities by 2030 sum up to
36 approximately one quarter of the planned total reductions (Forsell et al. 2016; Grassi et al. 2017). By 2023,
37 progress on the NDCs will be reviewed. Within the United Nations Agenda 2030 for Sustainable
38 Development, action on land is indispensable to achieve many of the Sustainable Development Goals
39 (SDGs), such as SDG 13 (Climate Action), SDG 15 (Life on Land), SDG 2 (Zero Hunger), and many
40 others.

41 The Special Report on climate change, desertification, land degradation, sustainable land management, food
42 security, and greenhouse gas fluxes in terrestrial ecosystems (SRCCL) provides the opportunity to integrate
43 the current state of the scientific knowledge on the issues specified in the report's title (see also Figure 1.1).
44 This knowledge is assessed in the SRCCL in context of the Paris Agreement, but many of the aspects
45 addressed concern also other international conventions such as the United Nations Convention on

1 Biodiversity (UNCBD), the UN Convention to Combat Desertification (UNCCD), and the UN Agenda
 2 2030 and its SDGs. The SRCL is the first in which land is central, since the IPCC Special Report on land
 3 use, land-use change and forestry (Watson et al. 2000)(see also Box 1.1). The main objectives are to:

- 4 1) Assess the current state of the scientific knowledge on climate change and land interactions and related
 5 processes;
- 6 2) Evaluate the impacts of human-directed drivers and their interactions with climate change on land
 7 degradation, desertification and food security;
- 8 3) Outline different land-based response options to GHG mitigation, evaluate their feasibility, and assess
 9 the potential synergies and trade-offs with land ecosystem services.
- 10 4) Examine adaptation options to tackle land degradation, desertification, build resilient food systems
 11 under a changing climate, and evaluate the synergies and trade-offs between mitigation and adaptation.
 12 Delineate the policy, governance and other enabling conditions to support climate mitigation, land
 13 ecosystem resilience and food security in the context of risks, uncertainties and remaining knowledge
 14 gaps.



15
 16 **Figure 1.1 A representation of the principal land challenges and land-climate system processes covered in**
 17 **this assessment report. The figure shows a stylised set of landscapes that reflect a generalised climate and**
 18 **vegetation gradient from the equator towards the poles. Each segment shows a specific climatic zone that is**
 19 **consistent with different biomes (ecosystem types) and which are determined by the location along the**
 20 **gradient: tropics, (semi-)arid, temperate, boreal and tundra. The vegetation to the rear of the stylised**
 21 **landscape represents 'pristine' ecosystems (i.e. little or no human intervention), which become increasingly**
 22 **degraded and desertified at the front of the landscape arising from increased human pressures. The loss of**
 23 **ecosystem function toward the front is also concurrent with a decline in soil quality from the rear to the front**
 24 **of the landscape. The five 'land challenges' covered by this assessment (climate mitigation, adaptation,**
 25 **desertification, land degradation and food security) are shown and also relate to the types of response options**
 26 **that are relevant to them. The figure also demonstrates the key relationships between the land surface and**
 27 **the climate system. This includes greenhouse gas fluxes (principally CO₂, N₂O, CH₄) and energy exchanges**
 28 **between the land surface and the climate system through biogeophysical effects (albedo, evapotranspiration**
 29 **and heat flux, which primarily affect regional climates).The figure encapsulates the range of challenges and**
 30 **processes that are addressed by this assessment, reflecting these as the problems to be addressed through**
 31 **different response options and policy actions**

1 Despite the uncertainties regarding the remaining permissible cumulative CO₂ emissions that are consistent
2 with a warming of well below 2°C (Rogelj et al. 2018a), *confidence is very high* that the window of
3 opportunity (period when significant change can be made; see Chapter 7) for reversing current fossil fuel
4 consumption is rapidly narrowing (Schaeffer et al. 2015; Riahi et al. 2015; Bertram et al. 2015; Millar et
5 al. 2017; Rogelj et al. 2018a). Annual greenhouse gas emissions continue to increase unabatedly. In order
6 to meet the Paris goals rapid actions are required across the energy, transport, and agricultural sectors,
7 factoring in also human population growth (Wynes and Nicholas 2017; Le Quere et al. 2018). Land-based
8 mitigation can offer realistic and powerful options, if these at the same time are being considered against
9 several development and national priorities, not least energy and food security, conservation, and pollution
10 control (Pereira et al. 2010; Harvey and Pilgrim 2011; Zhang et al. 2015; Crist et al. 2017; Meyfroidt 2018).

11 This report will provide evidence to enable policy decision makers to reconfigure potential future
12 development pathways in which land can provide several fundamental needs to humanity, including climate
13 regulation, food, water, energy, and maintaining biodiversity. The SRCCL takes up the unique opportunity
14 to address land-related challenges and response-options in an integrative way, thus being of cross-sectoral
15 policy relevance. In context of the stated objectives, Chapter 1 provides a synopsis of the issues addressed
16 in this report, which are substantiated in Chapters 2–7 (see 1.6).

17

18 **Box 1.1 Land in previous IPCC and other relevant reports**

19 Previous IPCC reports have made reference to land and its role in the climate system. Threats to agriculture
20 and forestry, but also the role of land and forest management as a contributor to climate change have been
21 documented since the IPCC Second Assessment Report with increasing focus, and especially so in the
22 Special report on land use, land-use change and forestry (Watson et al. 2000). Compared to these previous
23 IPCC reports, the SRCCL offers a more integrated analysis as it embraces multiple direct and indirect
24 drivers of natural resource management (related to food, water and energy securities) which have not
25 received sufficient analysis previously (e.g., in the AR5). The recent IPCC 1.5 degree special report targeted
26 specifically the Paris Agreement, without exploring the possibility of future global warming trajectories
27 above 2°C, and with climate change clearly at its centre (IPCC 2018). In the FAO reports, land degradation
28 is discussed in relation to ecosystem goods and services, and land degradation is analysed principally from
29 a food security perspective (FAO and ITPS 2015). The SRCCL also looks at land degradation from a human
30 food security perspective and refers to the strong correlations between land degradation and poverty. It
31 looks at incentives related to market, institutions that can trigger positive impacts between climate change,
32 food access and biophysical drivers. The UNCCD report (2014) discusses land degradation from the prism
33 of desertification. It devotes due attention to analyses on how land management can contribute to reversing
34 the negative impacts of desertification and land degradation. The IPBES assessment (2018) combines
35 biodiversity drivers, land degradation and desertification, focussing on poverty as a limiting factor, drawing
36 attention to a world in peril in which resource scarcity conspires with biophysical and social vulnerability
37 drivers to derail the attainment of sustainable development goals.

38 The SRCCL complements these previous assessment reports, while keeping the IPCC-specific “climate
39 lens”. As the SRCCL is cross-policy it provides the opportunity to address a number of challenges in an
40 integrative way at the same time, and it progresses beyond other IPCC reports in having a much more
41 comprehensive perspective on land.

42

1 **1.2.2 Status of (global) land use and the role of land in the climate system**

2 **1.2.2.1 Land ecosystems and climate change**

3 Land ecosystems play a key role in the climate systems, due to their large carbon pools and carbon exchange
4 fluxes with the atmosphere (Ciais et al. 2013b). Land use, that is the sum of human activities and
5 arrangements aimed at harnessing services provided by terrestrial ecosystems, considerably alters terrestrial
6 ecosystems, by changing land cover, or by changing ecosystem properties within land cover types via land
7 management. After industry, land use is currently the largest source of anthropogenic greenhouse gas
8 emissions (Page et al. 2011; Bodirsky et al. 2012; Ciais et al. 2013; Smith et al. 2014; Shcherbak et al. 2014;
9 Guillaume et al. 2016; Arneeth et al. 2017; Le Quere et al. 2018)(see also Chapter 2). An estimated up to
10 25% of total anthropogenic emissions of the greenhouse gases methane (CH₄) and nitrous oxide (N₂O), and
11 approximately 10% of CO₂ emissions arise mainly from deforestation, ruminant livestock and fertiliser
12 application (Ciais et al. 2013a; Smith et al. 2014; Tubiello et al. 2015; Le Quere et al. 2018)(see also
13 1.3.1.4). There is *very high confidence* that greenhouse-gas reduction measures in agriculture, livestock
14 management and forestry have substantial benefits for biodiversity and ecosystem services beyond climate
15 regulation, but the magnitude of cost-efficient emission reductions remains unresolved (1.5–5, or even 11.3
16 Gt CO₂-eq a⁻¹ (Smith et al. 2013a, 2014b; Griscom et al. 2017a)).

17 Land ecosystems do not only respond to direct land-use, but also to changes in environmental conditions
18 such as increasing atmospheric CO₂ concentration, or prolonged growing season in cool environments. In
19 consequence, land also serves as a large carbon dioxide sink (Ciais et al. 2013; Canadell and Schulze 2014;
20 Zhu et al. 2016; Le Quere et al. 2018;). Whether or not this sink will persist in future is one of the largest
21 uncertainties in carbon cycle and climate modelling (Ciais et al. 2013; Friend et al. 2014; Bloom et al. 2016;
22 Le Quere et al. 2018). In addition, vegetation cover changes (such as conversion of forest to cropland or
23 grassland, and vice versa) can result in regional cooling or warming through altered energy and momentum
24 transfer between ecosystems and atmosphere. The regional impacts can be substantial, but the sign of the
25 effect depends on the geographic context (Lee et al. 2011; Zhang et al. 2014; Alkama and Cescatti 2016)(see
26 also Chapter 2).

27 Climate change affects land ecosystems in various ways. Natural biome boundaries shift in response to
28 warming. In addition, as a result of atmospheric CO₂ increases woody cover increases in semi-arid regions
29 (Donohue et al. 2013; Wärlind et al. 2014; Davies-Barnard et al. 2015). Habitat shifts, together with warmer
30 temperatures, enhances pressure on plants and animals (Pimm et al. 2014; Urban et al. 2016). Warming, in
31 particular when combined with soil moisture deficit, can reduce yields in areas that already today are under
32 heat and water stress (Schlenker and Lobell 2010; Lobell et al. 2011, 2012; Challinor et al. 2014)(see also
33 Chapter 5). At the same time, warmer temperatures can increase productivity in cooler regions (Moore and
34 Lobell 2015) and might open opportunities for crop areas to expand into new regions (Pugh et al. 2016).
35 Increasing atmospheric CO₂ increases productivity and water use efficiency in most of the world's staple
36 crops and in forests (Muller et al. 2015; Kimball 2016), whereas the increasing number of extreme weather
37 events linked to climate change result in yield losses (Deryng et al. 2014; Lesk et al. 2016), and hence
38 impact food prices. Heat waves and droughts are also weather conditions prone to wildfires (Seidl et al.
39 2017; Fasullo et al. 2018), and all weather extremes impacts local infrastructure and hence transportation
40 and trade of land-related goods (Schweikert et al. 2014; Chappin and van der Lei 2014). Clearly, various
41 adaptation measures are required to reduce these adverse impacts on land (see 1.4.4).

42 **1.2.2.2 Current land use patterns**

43 Around three quarters of the global 130 Mkm² ice-free land, and most of the highly-productive land area by
44 now are under some sort of land use (Ellis et al. 2013; Luysaert et al. 2014; Erb et al. 2016a; Venter et al.

1 2016; Erb et al. 2017)(see Table 1.1, *robust evidence, high agreement*). Agriculture, the sum of cropland
2 and pastures, represents the largest land-use categories (total ca. 43–53 Mha, Table 1.1), about 70% of
3 which is used for livestock production (i.e. including feed cereals on cropland) (Foley et al. 2011; Herrero
4 et al. 2013; Mottet et al. 2017). Natural grasslands and savannas are with 40% of the ice-free terrestrial
5 surface the largest global land-cover type, but it is estimated that a considerable fraction (about 85%) of
6 these areas are under some land use, mainly for livestock grazing (*medium confidence*, Newbold et al. 2017;
7 Stevens et al. 2017; Erb et al. 2018).

8 Forests cover 40 Mha, but considerable uncertainties relate to estimates of their (and of natural grasslands
9 and savannas) extent, due to discrepancies of definition (Putz and Redford 2010; Luysaert et al. 2014;
10 FAO 2015a; Schepaschenko et al. 2015; Birdsey and Pan 2015; Chazdon et al. 2016a; Erb et al. 2017; FAO
11 2018). Globally, 60–85%, and virtually all of temperate and southern boreal forests are under some form
12 of use or management (Luysaert et al. 2014; Birdsey and Pan 2015; Morales-Hidalgo et al. 2015; Potapov
13 et al. 2017; Erb et al. 2018), 5–7% of managed forests are intensive plantations (Birdsey and Pan 2015; Erb
14 et al. 2016a). Mining, although with 0.3–0.8 Mkm², and infrastructure with 0.7–1.6 Mkm², are both almost
15 negligible in terms of global area coverage (Allen and Pavelsky 2018), represent a particularly pervasive
16 land-use activities, with far-reaching ecological, social and economic implications (Cherlet et al. 2018).
17 The globally large imprint of humans on the land surface has led to the definition of anthromes, that is,
18 human systems with natural ecosystems embedded within them, forming ‘anthropogenic biomes’ (Ellis and
19 Ramankutty 2008; Ellis et al. 2010).

20 The intensity of land use varies hugely within and among different land use types and regions. At the global
21 level average, around 10% of the total ice-free land surface was estimated to be under intensive
22 management, two thirds under moderate and the remainder under extensive management (Erb et al. 2016a).
23 Practically all cropland is fertilised, albeit with large regional variation (Erb et al. 2016a). With an estimated
24 2200–3800 km³ a⁻¹, irrigation is responsible for 70% of ground- or surface water withdrawals by humans
25 (Wisser et al. 2008; Chaturvedi et al. 2015; Siebert et al. 2015; FAOSTAT 2018). Human societies
26 appropriates one quarter to one third of the total potential net primary production, i.e. the NPP that would
27 prevail in the absence of land use (estimated at about 60 PgC a⁻¹; Bajželj et al. 2014; Haberl et al. 2014).
28 The total of agricultural biomass harvest (from cropland and grazing land) in the early 21st century is
29 estimated at 6 PgC a⁻¹, around 50–60% of it is consumed by livestock, forestry harvest amounts to about 1
30 PgC a⁻¹ (*high confidence*, (Haberl et al. 2014; Smith et al. 2014; Alexander et al. 2017c; Mottet et al. 2017).

1

Table 1.1 Extent of global land use and management around the year 2015

LAND COVER / LAND USE IN 2015	Mkm ²			% of global ice-free land			
	Low	High	Best estimate	Low	High	Best estimate	
Ice-free land surface	130,00	130,00	130,00	100,0	100,0	100,0	
URBAN & BUILT-UP LANDS	0,66	0,73	0,73	0,5	0,6	0,6	(1,2,3)
AGRICULTURAL LANDS, TOTAL	43,93	51,57	48,70	33,8	39,7	37,5	
Of which, agricultural land (cropland / pastures) with trees cover (low: >30%, high: >10%)	3,74	10,12	3,74	2,9	7,8	2,9	(4)
Of which, smallholder agricultural land in developing countries			5,87			4,5	(5)
CROPLAND	15,93	18,80	15,93	12,3	14,5	12,3	(6)
Of which, cropland with multicropping			3,82			2,9	(7)
Of which, cropland without multicropping			8,32			6,4	(7)
Of which, temporary fallow			3,79			2,9	(7)
Of which, paddy rice cropland equipped for irrigation			0,66			0,5	(8)
Of which, other cropland equipped for irrigation			2,45			1,9	(8)
Of which, cropland not equipped for irrigation			12,82			9,9	(8)
Of which, cropland with >100 kg N fertilisers/ha:			1,74			1,3	(9)
Of which, cropland with 50–100 kg N fertilisers/ha:			3,50			2,7	(9)
Of which, cropland with 5–50 kg N fertilisers/ha:			7,46			5,7	(9)
Of which, cropland with <5 kg N fertilisers/ha:			3,23			2,5	(9)
PASTURES	28,00	32,77	32,77	21,5	25,2	25,2	(6)
Intensive pasture (>100 animals/km ²)			2,58			2,0	(10)
Extensive pasture (Total pasture – Intensive pasture)			30,19			23,2	(11)
FORESTS	33,34	42,47	39,99	25,6	32,7	30,8	(12)
Forests managed for wood production			28,10	0,0	0,0	21,6	
Planted forests			2,79			2,1	(13)
Natural forest under formal forestry use (timber extraction)			20,54			15,8	(13)
Natural forest under other uses, including illegal / informal logging and fuelwood collection			4,77			3,7	(11)
Forested wilderness / primary forest	11,72	11,89	11,89	9,0	9,1	9,1	(14)
OTHER NON-FORESTED LAND	52,08	35,23	40,58	40,1	27,1	31,2	
Of which, potentially productive under rainfed agriculture & unforested	1,38	4,45	1,38	1,1	3,4	1,1	(15)
Other land affected by management / human activities (very extensive / rough / seasonal grazing, fires, hunting, fuelwood collection outside forests, wild products harvesting, ...)	42,46*	25,6*	30,96	32,7	19,7	23,8	(11)
Non-forested wilderness (unused / undisturbed) land	9,62	9,62	9,62	7,4	7,4	7,4	(16)

2 * this is the residual category (difference of total land area and all other data) which results in a swap of low and high
3 estimates

1 **Note:** This table is based on data and approaches described in (Lambin and Meyfroidt 2011, 2014); Luysaert et al.
2 2014; Erb et al. 2016a), and references below. The target year for data is 2015, but proportions of some subcategories
3 are from 2000 (the year with still most reconciled datasets available) and were scaled to the extent of the broad land
4 use category for 2015. Sources: (1): (Luysaert et al. 2014); (2) (Lambin and Meyfroidt 2014); (3) Global Human
5 Settlements dataset, <https://ghsl.jrc.ec.europa.eu/>; (4): (Zomer et al. 2016); (5): (Samberg et al. 2016); (6): Low:
6 (FAOSTAT 2018), high: (Erb et al. 2016a); (7): Proportions estimated from (Portmann et al. 2010) for 2000, scaled
7 to 2015 cropland extent; (8) Proportions estimated from (Siebert et al. 2015) and (Portmann et al. 2010), scaled to
8 2015 cropland extent; (9): Proportions estimated from Potter et al. 2010 for 2000, scaled to 2015 cropland extent; (10):
9 (FAO’s Animal Production and Health Division); (11): Residual category (difference of total ice-free land surface and
10 all other estimates; (12): Low: (Song et al. 2018); high: (FAO 2015a) corrected with (Bastin et al. 2017) for drylands;
11 (13) (FAO 2015a); (14): Low: Primary forest in (FAO 2015a); high: Intact Forest Landscape from (Potapov et al.
12 2017); (15): (Lambin et al. 2013; Lambin and Meyfroidt 2014); (16): (Erb et al. 2016a).

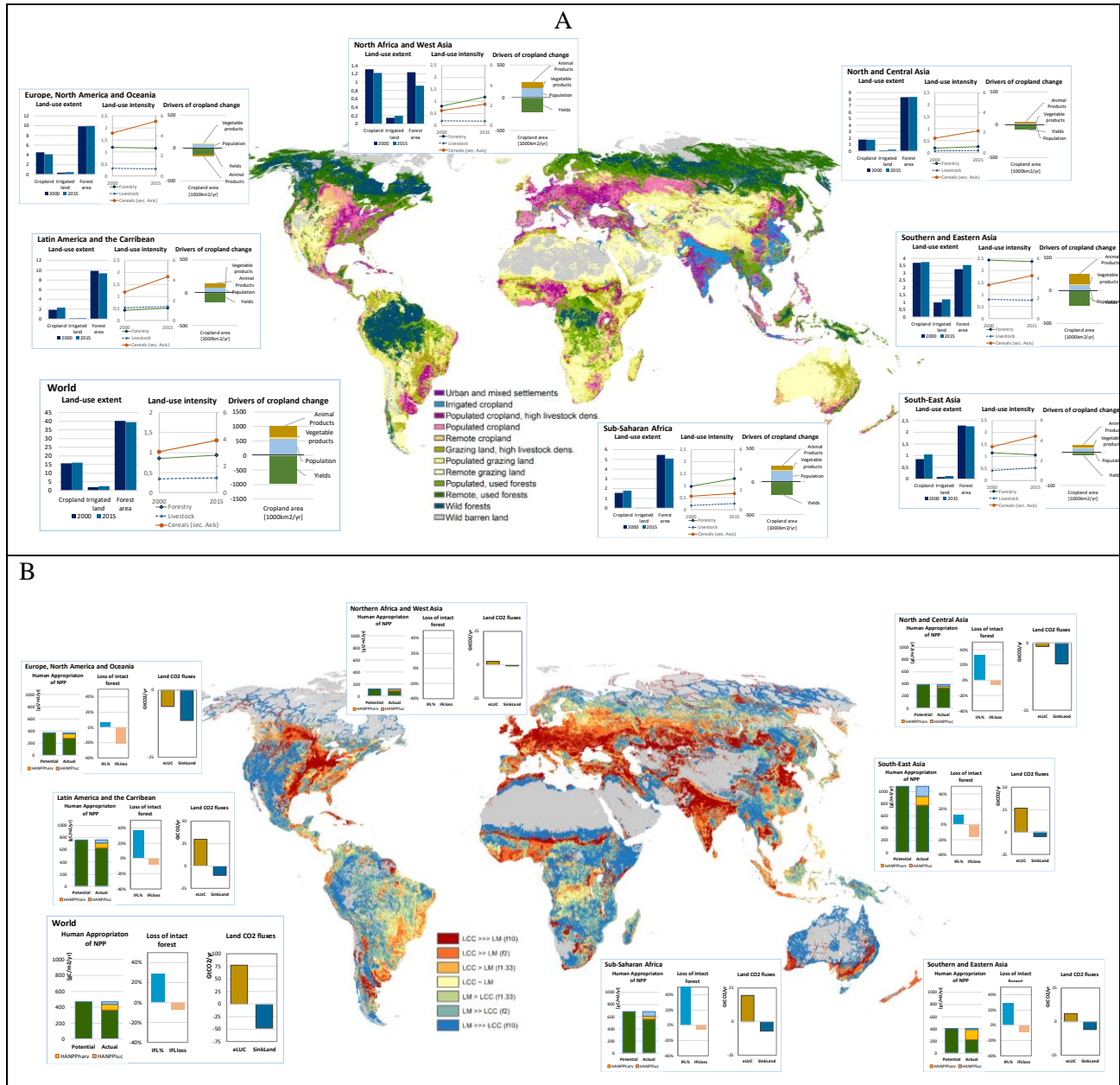
13 **1.2.2.3 Past and ongoing trends**

14 Globally, the area of cropland is estimated to have increased by 70–85% (Krausmann et al. 2013; Goldewijk
15 et al. 2017;) over the last century, by 15% since 1960 alone, and is still expanding at a rate of about 0.03
16 Mkm² (0,2%) per year (FAOSTAT 2018). Since the early 1970s, per capita calorie consumption has
17 increased by 17% (Kastner et al. 2012), with pronounced changes in diet composition: dairy products and
18 vegetable oils has almost doubled, while meat consumption has almost tripled (FAO 2017). Livestock
19 production plays a pivotal role in cropland expansion, causing 50–65% of cropland change (Kastner et al.
20 2012;). Cereal yields increased nearly linearly over the last six decades, with emerging signs of reduced
21 growth rates or stagnation (*medium confidence*) on large cropland areas (Lin and Huybers 2012; Ray et al.
22 2012; Elbehri, Aziz, Joshua Elliott 2015; Alexander et al. 2015). In the past 50 years, the world’s irrigated
23 cropland area roughly doubled, while global fertiliser use increased by 500% (Foley et al. 2011; Siebert et
24 al. 2015). As a result of shifting towards industrialised livestock systems, the area classified as permanent
25 pasture and grazing land has more or less stabilised (Goldewijk and Verburg 2013; Goldewijk et al. 2017).
26 Urban and other infrastructure areas (Seto et al. 2012a; Friis et al. 2016; Friis and Nielsen 2017) have
27 expanded by a factor 5 since 1910 (Krausmann et al. 2013), resulting in disproportionately large losses of
28 highly-fertile cropland (Seto and Reenberg 2014; Martellozzo et al. 2015; Bren d’Amour et al. 2016; Seto
29 and Ramankutty 2016; van Vliet et al. 2017).

30 Wood harvest increased by 30% since 1970, on shrinking forest areas (FAOSTAT 2018). Deforestation
31 and conversion of natural forests to plantations continues especially in tropical regions (Gibbs et al. 2010;
32 Hansen et al. 2013; Sloan and Sayer 2015; FAO 2018; Song et al. 2018b). Secondary forests and forest
33 plantations increase mainly in the Northern Hemisphere, but these gains do not compensate for forest losses.
34 All assessments of forest area suggest global net-loss of forest area in the last decades, whereas tree-cover
35 change studies revealed a net gain (Song et al. 2018), with discrepancies due to differences between
36 classifications of forest (Keenan et al. 2015), and discrepancies between remote sensing products (Song et
37 al. 2018; Li et al. 2018a). Conversion of natural lands includes tropical dry woodlands and savannahs, for
38 instance, about 50% of Brazilian Cerrado has been transformed to agriculture and pastures (Lehmann and
39 Parr 2016). Large pressure has also been exerted on the South-American Catinga and Chaco regions (Parr
40 et al. 2014a; Lehman and Parr 2016). African savannahs have been proposed to follow a similar tropical
41 agricultural revolution pathway in order to enhance agronomical prosperity (Ryan et al. 2016).

42 The land-use and forestry trends are also associated with strong declines in local plant and animal species
43 richness and abundance, in particular in areas of high-intensity land-use (Paillet et al. 2010; Newbold et al.
44 2015; Wilting et al. 2017). Global biodiversity loss from land-use change has been estimated around 10%,
45 and locally impacts are as high a loss of 75% (Newbold et al. 2015). Large human appropriation of net
46 primary productivity can lead to an irreversible decline in heterotrophic organisms at various trophic levels,

1 especially in low productive regions (Newbold et al. 2018). Likewise, projected losses of species diversity
 2 rapidly increases with increasing temperatures (Settele et al. 2014; Urban et al. 2016; Scholes, et al., 2018;
 3 Fischer et al. 2018). Whether or not earth's biota has entered a sixth mass extinction, it is clear that current
 4 extinction rates are far above background rates and that ecosystem restoration will be challenging from a
 5 species and functional diversity perspective (Pimm et al. 2014; Ceballos et al. 2015; De Vos et al. 2015).
 6 This historically unprecedented and accelerating human appropriation of land resources, and its large
 7 regional variation pose large challenges for land management in future (see Figure 1.2).



8
 9 **Figure 1.2 Status and trends in the global land system (note: maps will be revised for the final draft). A.**
 10 **Spatial patterns and major trends of the global land systems. The map show the spatial pattern of land**
 11 **systems and is derived from a combination of Anthromes (Ellis and Ramankutty 2008; Ellis et al. 2010), with**
 12 **livestock systems (FAO's Animal Production and Health Division; Nachtergaele 2008). The inlay figures**

1 summarise key trends in the land systems and their drivers. Land-use area change between 2000 and 2015 is
2 displayed in $\text{Mkm}^2 = 10^6 \text{ km}^2$ and land-use intensity is expressed with three indicators: cereal yields
3 measured in t/ha/year , forest harvest in m^3/ha , and livestock density in Livestock Units per ha; all data
4 (FAOSTAT 2018). Major drivers of the change in cropland area for food production, are expressed as annual
5 average change of cropland in 10^3 km^2 between 1994 and 2011 (Alexander et al. 2015). B. Land management
6 and land-cover conversion impacts on the Earth system processes. The maps shows the ratio of land
7 management to land cover conversion impacts on biomass stocks (Erb et al. 2018). LCC denotes effects of
8 land-cover conversions (changes of land cover types) caused by land use, LM effects of land management
9 (changes within the same land cover type caused by management), and depict areas dominated by land-
10 management or land-cover conversion impacts. The inlay figures show the regional pattern in the global
11 Human Appropriation of Net Primary production (HANPP), the loss of intact forests and carbon fluxes in the
12 land ecosystems. HANPP is defined as the potential NPP (NPP that would prevail in the absence of land use,
13 but with current climate, left column) minus the combined effect of land-use induced NPP changes
14 ($\text{HANPP}_{\text{luc}}$) and biomass harvest ($\text{HANPP}_{\text{harv}}$) (Haberl et al. 2014; Krausmann et al. 2013) that allow to
15 calculate the amount of NPP remaining in ecosystems after human land use (right column). The data on
16 intact forest (IFL) refers to forests and associated natural treeless ecosystems with no remotely detected signs
17 of human activity or habitat fragmentation and large enough to maintain native biological diversity (Potapov
18 et al. 2017). The extent of IFL refers to the year 2013, the loss of IFL refers to the change between 2000 and
19 2013, in percent of the IFL in the year 2000. Two CO_2 fluxes between land ecosystems and the atmosphere are
20 displayed: the CO_2 land use flux due to land conversions and forest management, as well as the CO_2 land sink
21 caused by the indirect anthropogenic effects of environmental change (e.g., climate change and the fertilising
22 effects of rising CO_2 and N concentrations) on unmanaged lands. The land-use induced sink is the average of
23 two bookkeeping models, the land sink due to environmental change represents the mean of seven dynamic
24 vegetation models presented in the Global Carbon Budget (Le Quéré et al. 2018)

25

26 1.3 Key challenges related to land use change

27 1.3.1 Climate change, land degradation, desertification and food security

28 1.3.1.1 Future trends in the global land system

29 Human population is projected to increase to close to 9.8 (± 1 bio) by 2050 (<https://www.un.org/development/desa/publications/2018-revision-of-world-urbanization-prospects.html>). More people, a
30 growing global middle class (Crist et al. 2017), continued rapid rates of urbanisation (Jiang and O'Neill
31 2017) and changes in diets (Kastner et al. 2012; Billen et al. 2015; Alexander et al. 2015; Myers et al. 2017)
32 all enhance the pressure towards expanding crop and pasture area, and intensifying land management. The
33 already existing large pressure on land ecosystems will with *high confidence* be further exacerbated if large-
34 scale climate change mitigation efforts on land are enacted (Smith et al. 2016)(see also 1.3.2 and Chapter
35 6). Woody and crop biomass commodities are increasingly traded internationally leading to a spatial
36 disconnect between production and consumption. The resulting large-scale interdependencies and global
37 telecoupling in the land system allows for efficiency gains, for example, related to land-demand, but also
38 to complex cause-effect chains and indirect effects such as land competition and leakage, or biodiversity
39 loss in the production rather than consumption regions (Lapola et al. 2010; Liu et al. 2013; Kastner et al.
40 2014; Baldos and Hertel 2015; Billen et al. 2015; Jadin et al. 2016; Erb et al. 2016b; Chaudhary and Kastner
41 2016; Wood et al. 2018; Schröter et al. 2018)(see also 1.3.1.5).

43 Climate change will affect agriculture and forest productivity in most regions, thereby accentuating existing
44 challenges (Schlenker and Lobell 2010; Lipper et al. 2014; Challinor et al. 2014; Rosenzweig et al. 2014;
45 Myers et al. 2017)(see Chapters 2 and 5), although increasing atmospheric CO_2 concentrations can
46 counteract some of the detrimental climate change effects on productivity (Weigel and Manderscheid 2012;
47 Kimball 2016). The expansion of global drylands is anticipated to accelerate in the 21st century (see 2.3.2

1 and Chapter 3). In those developing countries where pressure on land is high, climate change impacts are
2 expected to further imperil large populations who rely substantially on agriculture and who have a high
3 prevalence of hunger (Baldos and Hertel 2015)(see also 1.3.1.4 and Chapter 5).

4 The extent of urban areas is projected to increase significantly (up to a factor of 2 to 3) until 2030 (Seto et
5 al. 2012; van Vliet et al. 2017; Jiang and O'Neill 2017), estimated to result in a further loss of fertile
6 (crop)land. These losses are expected to occur in regions of high population density and agrarian-dominated
7 economies with limited capacity to compensate for these losses, and in biodiversity hotspots, and with far-
8 reaching effects on food security (*high confidence* (Seto et al. 2012; Güneralp et al. 2013; Aronson et al.
9 2014; Martellozzo et al. 2015; Bren d'Amour et al. 2016; Seto and Ramankutty 2016; van Vliet et al. 2017).

10 Given the large uncertainties underlying the many drivers of land use, including future net primary
11 productivity, yield developments, demand, production-consumption dynamics, trade, and conservation,
12 future trends in the global land system are explored in scenarios and models that seek to span across these
13 uncertainties (e.g.,(Ray et al. 2013; Coelho et al. 2013; Popp et al. 2014; Schmitz et al. 2014; Billen et al.
14 2015; Prestele et al. 2016; Engstrom et al. 2016; van Ittersum et al. 2016; Alexander et al. 2016, 2017a)(see
15 Cross-Chapter Box 2: Scenarios).

16 **1.3.1.2 Desertification**

17 Desertification is a persistent negative trend in land condition causing long-term reduction or loss of the
18 biological productivity of dry lands, their ecological complexity, and/or their human values. The IPCC has
19 in previous reports adopted the definition of the UNCCD of desertification being land degradation in arid,
20 semi-arid and dry sub-humid areas resulting from various factors, including climate variations and human
21 activities (see glossary, Chapter 3). Desertification may be non-reversible (Tal 2010) in that it causes
22 persistent loss of ecosystem function and productivity due to diverse disturbances (e.g., soil fertility loss,
23 soil erosion, vegetation cover loss, and plant species changes) from which the land cannot recover unaided
24 (Bai et al. 2008). While climatic variability can change the intensity of desertification process, some authors
25 exclude climate impact, emphasising that desertification is purely human-induced process of land
26 degradation with different levels of severity and consequences (Sivakumar 2007). A critical challenge is
27 also to identify a “non-desertified” reference state (Bestelmeyer et al. 2015).

28 As a consequence of widely varying definitions, the area of desertification varies widely (see (D'Odorico
29 et al. 2013; Bestelmeyer et al. 2015), and references therein). Arid regions of the world cover around 45.4%
30 of the total terrestrial surface (about 60 Mkm²; (Pravalié 2016), see also Chapter 3). More than two billion
31 people reside in dryland regions (D'Odorico et al. 2013; Maestre et al. 2016). The combination of low
32 rainfall with frequently infertile soils renders these regions, and the people who rely on the land's resources,
33 vulnerable to both the climate change, and unsustainable land management. In spite of the national, regional
34 and international efforts to combat desertification, it is still one of the major environmental problems
35 (Abahussain et al. 2002; Cherlet et al. 2018).

36 **1.3.1.3 Land Degradation**

37 In this report, land degradation is defined as a negative trend (or persistent decline) in land condition
38 resulting in the long-term reduction or loss of the biological productivity of land, its ecological complexity,
39 and/or its human values, caused by direct and/or indirect anthropogenic processes, including climate change
40 (see Chapter 4).

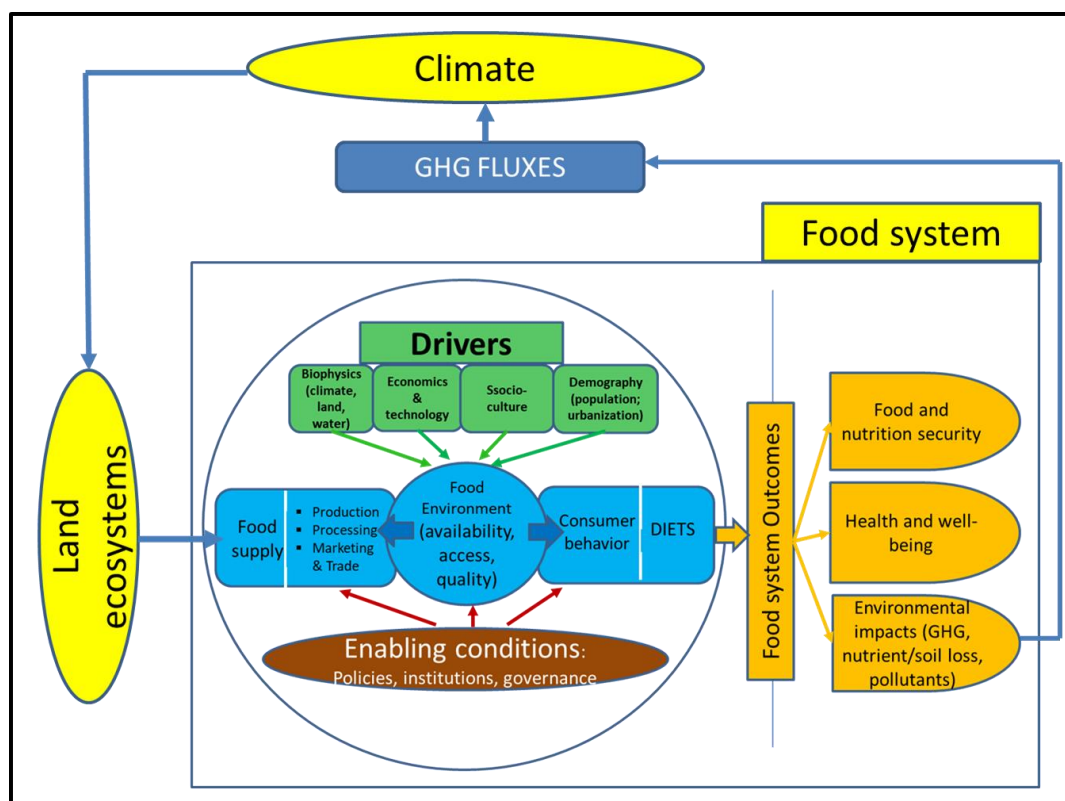
41 Due to loss of productivity carbon storage, biodiversity, and other ecosystem services, degradation of soil
42 and land resources is a critical issue for ecosystems around the world (Ravi et al. 2010; Abu Hammad and
43 Tumeizi 2012; Mirzabaev et al. 2015; FAO and ITPS, 2015; Cerretelli et al. 2018). Land degradation can
44 be considered in terms of the loss of actual or potential productivity or utility; it is driven to a large degree

1 by unsustainable agriculture and forestry, socioeconomic pressures, such as rapid urbanisation and
2 population growth, and unsustainable production practices in combination with climatic factors (Beinroth
3 et al. 1994; Abahussain et al. 2002; Franco and Giannini 2005; Lal 2009; Abu Hammad and Tumeizi 2012;
4 Field et al. 2014; Ferreira et al. 2018).

5 Global estimates of total degraded area vary from less than 1 billion ha to over 6 billion ha, with equally
6 wide disagreement in their spatial distribution in various literature (*medium confidence*; Gibbs and Salmon
7 2015). Increasing at an estimated 5–10 million ha a⁻¹ (Stavi and Lal 2015), the loss of total ecosystem
8 services from degraded lands have been estimated to be equivalent to about 10% of the world's GDP in the
9 year 2010 (Sutton et al. 2016). Although land degradation is a common risk across the globe, poor countries
10 remain most vulnerable to its impacts. Soil degradation is of particular concern, due to the long period
11 necessary to restore soils (Lal 2009; Stockmann et al. 2013; Lal 2015), as well as the rapid degradation of
12 so-called "intact" forests through fragmentation (Haddad et al. 2015). Land degradation is an important
13 factor contributing to the prevailing uncertainties of the mitigation potential of land-based ecosystems
14 (Smith et al. 2014).

15 ***1.3.1.4 Food security, food systems and linkages to land-based ecosystems***

16 The High Level Panel of Experts of the Committee on Food Security define the food system as to “gather
17 all the elements (environment, people, inputs, processes, infrastructures, institutions, etc.) and activities
18 that relate to the production, processing, distribution, preparation and consumption of food, and the output
19 of these activities, including socio-economic and environmental outcomes” (HLPE 2017). Likewise, food
20 security has been defined as “a situation that exists when all people, at all times, have physical, social and
21 economic access to sufficient, safe and nutritious food that meets their dietary needs and food preferences
22 for an active and healthy life “ (FAO 2017). Under this definition, food security is distinguished in terms
23 of food availability, economic and physical access to food, food utilisation and food stability over time.
24 Food and nutrition security is one of the key outcomes of the food system (Figure 1.3).



1
2 **Figure 1.3 Food system:** The food system is conceptually represented by three core components (supply,
3 demand and food environment), four sets of drivers (biophysical, technology and economics, political and
4 socio-cultural, and demographics) and three outcome categories (food and nutrition security, health and
5 wellbeing including reduced hunger and poverty, and environmental impacts including GHGs, nutrients,
6 water, and pollutants). The food system is also impacted by policies, governance and institutions. Finally, the
7 food system is linked to land (through ecosystem services of which food production is one) and climate (via
8 GHG fluxes) (see chapter 5)

9
10 In its 2018 annual report on the State of Food Insecurity, FAO and its international partners reported that
11 after a prolonged decline, world hunger appears to be on the rise again with the number of undernourished
12 people having increased to an estimated 821 million in 2017, up from 804 million in 2016 and 784 million
13 in 2015, although still below 900 million reported in 2000 (FAO, IFAD, UNICEF, WFP and WHO, 2018).
14 The same report also states that child undernourishment continues to decline, but levels of overweight and
15 obesity are increasing. The food security situation has worsened in particular in parts of sub-Saharan Africa,
16 and Latin America and was relatively stable in South-Eastern and Western Asia. Deteriorations have been
17 observed most notably in situations of conflict and conflict combined with droughts or floods (Smith et al.
18 2017; Cafiero et al. 2018). FAO also estimated that close to 2000 million people suffer from micronutrient
19 malnutrition (FAO 2018b).

20 Climate change affects the food system via productivity on land (Iizumi and Ramankutty 2015) (and the
21 ocean), the nutritional quality of food (Loladze 2014; Myers et al., 2014; Ziska et al. 2016; Medek et al.,
22 2017), water supply (Nkhonjera 2017), increased incidences of pests and diseases (Bett et al., 2017); Curtis
23 et al., 2018) as well as weather-linked production variability (Osborne and Wheeler, 2013; Tigchelaar et
24 al., 2017). These factors impact also on human health and increase morbidity and incidences of diseases
25 which affect human ability to process ingested food (Franchini and Mannucci 2015; Wu et al. 2016; Raiten

1 and Aimone 2017). At the same time, the food system generates negative externalities in the form of
2 greenhouse gas emissions (1.2.2.1), pollution and food waste and loss (environmental or ecological
3 “footprints”)(van Noordwijk and Brussaard 2014; Thyberg and Tonjes 2016; Goldstein et al., 2016; Sala et
4 al., 2017; Clune et al., 2017; Borsato et al. 2018; Kibler et al. 2018) with direct and indirect impacts on
5 climate change and reduced resilience to climate. As food systems are assessed in relation to their
6 contribution to global warming and/or to land degradation (e.g., livestock systems) it is critical to evaluate
7 their contribution to food security and livelihoods and to consider alternatives, especially for developing
8 countries where food insecurity is prevalent (Röös et al. 2017; Salmon et al. 2018).

9 **1.3.1.5 Challenges arising from land governance**

10 Land use change can be a double-edged sword – on the one hand it can lead to economic growth and on the
11 other it can constitute a source of tension and social unrest leading to elite capture, and competition (Tucker
12 2015, Hunsberger 2018). Competition for land plays out continuously among different use types (cropland,
13 pastureland, forests, urban spaces, and conservation and protected lands) and between different users within
14 the same land use category (subsistence vs. commercial farmers). Competition is mediated through
15 economic and market forces (expressed through land rental and purchases, as well as trade and
16 investments). In the context of such transactions, power relations often disfavour disadvantaged groups
17 such as small scale farmers, indigenous communities and women. These drivers are influenced to a large
18 degree by policies, institutions and governance structures. Land governance determines not only who can
19 access the land, but also the role of land ownership (legal, formal, customary or collective) which influences
20 land use, land use change and the resulting land competition.

21 Globally, competition for land is grounded in the finiteness of the land resource and that most highly-
22 productive land is already being exploited by humans (Lambin and Meyfroidt 2011; Lambin 2012; Venter
23 et al. 2016). Driven by growing population, urbanisation, demand for food and energy, as well as land
24 degradation, competition for land is likely to accentuate land scarcity in the future (Tilman et al. 2011;
25 Foley et al. 2011; Lambin, 2012; Popp et al. 2016)(*robust evidence, high agreement*). Climate change
26 influences land use both directly and indirectly (see 5.2, 5.4 and 1.3.2)(Haberl et al. 2014; Rosenzweig et
27 al. 2014; Haberl 2015; Daliakopoulos et al. 2016; Pugh et al. 2016; Coyle et al. 2017; Schauburger et al.
28 2017; Alexander et al. 2018), *robust evidence, high agreement*). Climate policies can also play a role in
29 increasing land competition via forest conservation policies, afforestation. or energy crop production (see
30 1.3.2), with serious implications for food security (Hussein et al. 2013) and large-scale people
31 dispossession.

32 An example of large-scale change of land ownership (especially in the global south) is the much-debated
33 large-scale land acquisition (LSLA) by foreign investors which peaked in 2008 during the food price crisis,
34 the financial crisis, and the search for biofuel investments. Since 2000, almost 50 million hectares of land,
35 have been acquired, and there are no signs of stagnation in the foreseeable future (Matrix 2018). The LSLA
36 phenomenon, which targets largely agriculture, touches much of the global south, including Sub-Saharan
37 Africa, Southeast Asia, Eastern Europe and Latin America (Rulli et al. 2012; Nolte et al. 2016; Constantin
38 et al. 2017). LSLAs are promoted by investments and host governments on economic grounds
39 (infrastructure, employment, market development)(Deininger et al. 2011) but their social and
40 environmental impacts can be negative and significant (Dell’Angelo et al. 2017).

41 Much of the criticism of LSLA focuses on their social impacts, especially the threat to local communities’
42 land rights (especially indigenous people, women) (Anseeuw et al. 2011) and displaced communities
43 creating secondary land expansion (Messerli et al. 2014; Davis et al. 2015). The aspiration that LSLAs
44 would develop efficient agriculture on non-forested, unused land (Deininger et al. 2011) has so far not been

1 fulfilled. However, LSLAs is not the only outcome of weak land governance structures (Wang et al. 2016b),
2 other forms of inequitable land acquisition can also be home-grown pitting one community against a more
3 vulnerable group (Xu 2018) or land capture by urban elites (McDonnell 2017). As demands on land are
4 increasing, building governance capacity and securing land tenure becomes essential to attain sustainable
5 land use, which has the potential to mitigate climate change, promote food security, and potentially reduce
6 risks of climate induced migration and associated risks of conflicts.

7 8 **1.3.2 Future challenges identified in large-scale land-based climate change mitigation** 9 **scenarios**

10 A number of options exist for land management to contribute to climate change mitigation. As discussed
11 in Section 1.4.4 and Chapter 6, these have the potential to create co-benefits for adaptation and ecosystem
12 restoration, but realising these potentials depend strongly on regional contexts and the portfolio of
13 response options implemented.

14 With the exception of socio-economic scenarios that explore strong reductions in animal protein or energy
15 demand, high energy efficiency and early action policies (Rogelj et al. 2018a) most scenarios that aim to
16 achieve global warming of 2°C or well below rely on bioenergy (in combination with carbon capture
17 storage, BECCS) or afforestation/reforestation (AR) as part of decarbonisation strategies, (Rogelj et al.
18 2018a; de Coninck et al. 2018; Smith et al. 2016; Popp et al. 2016; Anderson and Peters 2016; Rogelj et
19 al. 2018b)(see also Cross-Chapter Box 2: Scenarios). Estimate of bioenergy crop area required by 2050
20 range from about 50 to 500 Mha (2°C trajectories) and 100 to 700 (1.5°C trajectories) (Rogelj et al. 2018a).
21 Forest area changes by between -100 to >800 Mha and -80 to > 900 Mha (2°C, and 1.5°C trajectories,
22 respectively (Rogelj et al. 2018a). Projected annual carbon uptakes in 2050 for bioenergy pathways (1–2.2
23 GtC a⁻¹) and afforestation/reforestation (0.1–1 GtC a⁻¹) would require enhancement of today's land carbon
24 sink by an additional one third to three quarters within three decades. Given the foreseen degree of land
25 mitigation contributions in low warming scenarios, jointly with the projected extremely rapid technical and
26 societal uptake rates for the land-related mitigation measures, and the possibly large trade-offs for
27 ecosystem services and food prizes there is *high confidence* that these cannot be achieved sustainably (see
28 below, and Chapter 6). In developing regions, land-based climate mitigation might have particularly severe
29 consequences that are in conflict with the achievement of sustainable development goals such as no poverty,
30 zero hunger and life on land (UN 2015; Doelman et al. 2018; Roy et al. 2018).

31 **1.3.2.1 Reforestation and afforestation**

32 Reducing deforestation (and generally: forest management practices that target avoiding carbon losses, and
33 carbon enhancement) has for over a decade been put forward as a cost-effective measure to reduce carbon
34 emissions from land use change. Co-benefits for biodiversity and local communities can be large, although
35 in existing efforts until now not all expectations have been met (Matthews and van Noordwijk 2014;
36 Turnhout et al. 2017a). Large added value arises if priority regions for carbon sequestration and biodiversity
37 overlap (Strassburg et al. 2010, 2012; Visseren-Hamakers et al. 2012; Magnago et al. 2015; Simonet et al.
38 2016; Ojea et al. 2016; Turnhout et al. 2017).

39 Most future global scale land-related emission reduction scenarios therefore include reduced deforestation,
40 but combined with large-scale reforestation and afforestation efforts (Humpenoder et al. 2014; Popp et al.
41 2014; Smith et al. 2016; Griscom et al. 2017a). The carbon uptake potential of these scenarios has been
42 estimated to be of similar magnitude to bioenergy, combined with carbon capture and storage (Humpenoder
43 et al. 2014; Popp et al. 2014; Krause et al. 2017; Humpenoder et al. 2018)(see also 1.3.2.2 and Chapter 6),

1 with caveats being that the models used for these projections typically do not represent the forestry sector
2 explicitly, and poorly account for changes in soil carbon stocks from past land-use change (Schmitz et al.
3 2014; Krause et al. 2017). Recently, large uncertainties have been identified, in that land-carbon uptake in
4 land-use models of Integrated Assessment models may be consistently higher compared with uptake
5 calculated in dynamic global vegetation models when confronted with similar land-use change scenarios
6 (Krause et al. 2017).

7 Incentives towards afforestation and reforestation will only be successful if these address the potentially
8 large adverse side effects biodiversity and other ecosystem services, as well as socio-economic aspects such
9 as higher food prices due to area competition between forested and cropped land (Shi et al. 2013; Barcena
10 et al. 2014; Fernandez-Martinez et al. 2014; Searchinger et al. 2015; Kreidenweis et al. 2016; Stevanovic
11 et al. 2017; Graham et al. 2017b; Hong et al. 2018; Humpenoeder et al. 2018) (see also Cross-Chapter Box
12 1: Large scale reforestation and afforestation).

14 **Cross-Chapter Box 1: Large scale reforestation and afforestation**

15 **Contributing authors:** Almut Arneth (Ch1), Baldur Janz (Ch1), Werner Kurz (Ch 4), Francesco Cherubini
16 (Ch6), Kaoru Kitajima (Ch2), Eduardo Davin (Ch 2), Aziz Elbehri (Ch 1)

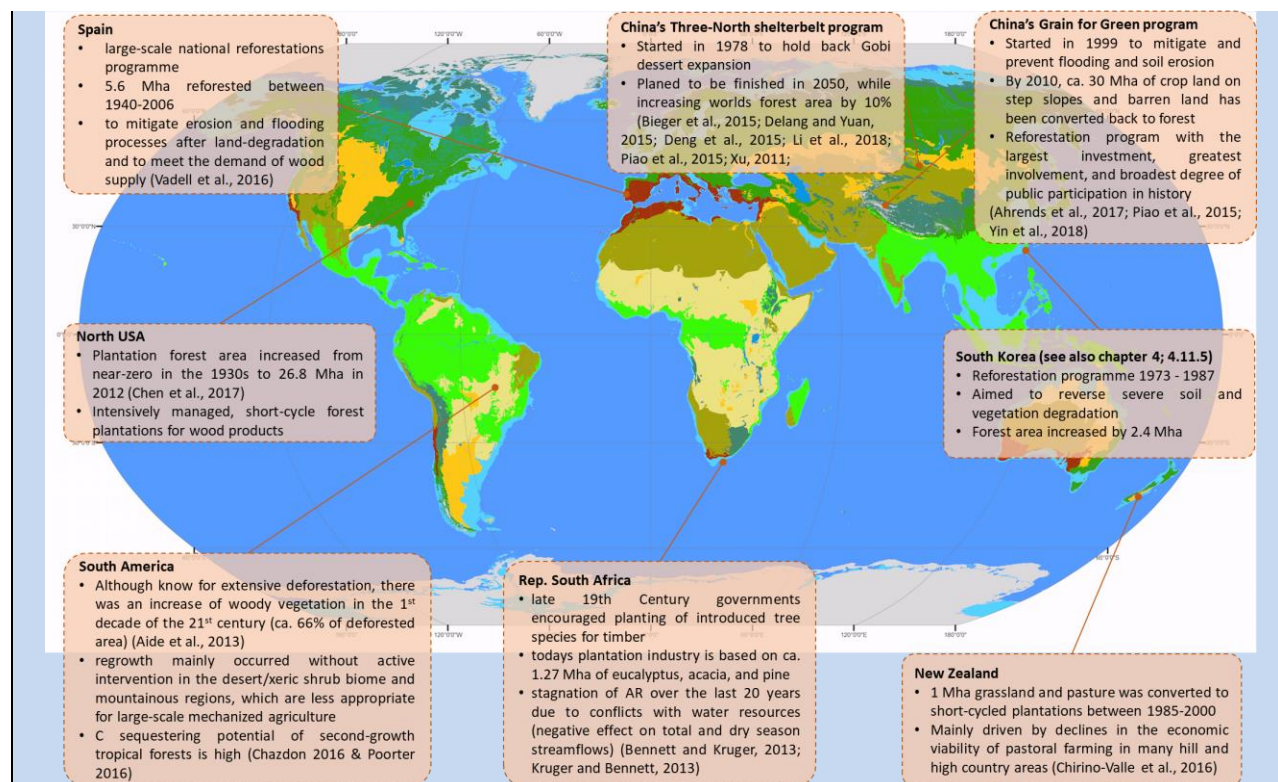
17 **Efforts to increase forest area through afforestation and reforestation (AR)**

18 *Afforestation* and *reforestation* (AR) refer to establishment of trees on non-forested land, reforestation
19 refers to replanting of forest on land that had recent tree cover, whereas afforestation refers to land that has
20 been without forest at least for the last 50 years (see glossary).

21 Expansion of managed forest area in the past has occurred for a variety of aims, from meeting anticipated
22 needs for forest goods (mostly for wood fuel or timber) (Shoyama 2008; Joshi et al. 2011; Zaloumis and
23 Bond 2015; Payn et al. 2015; Vadell et al. 2016; Chirino-Valle et al. 2016) to targeting environmental
24 services (biodiversity conservation, soil erosion, water resource management, carbon sequestration)
25 (Wuethrich 2007; Salvati et al. 2014; FAO 2016; Filoso et al. 2017; Ogle et al. 2018). Net forest area
26 expansion in recent decades has been evident in both high-income countries (North America, Europe) and
27 some developing countries (e.g., China, Vietnam, Georgia, India, Chile, Costa Rica) (FAO 2016b) with
28 China far in the lead motivated largely to alleviate severe soil erosion, desertification and overgrazing
29 (Deng et al. 2015; Wang et al. 2016; Cao et al., 2016; Ahrends et al. 2017; Yin et al. 2018)(Cross-Chapter
30 Box 1, Figure 1).

31 AR activities have been widely accepted as cost-effective climate change mitigation mechanisms when
32 compared to mitigation options in the energy and transport sector (Smith et al. 2016; Griscom et al. 2017;
33 de Coninck et al. 2018). The international community continues to promote large-scale forest expansion as
34 mitigation mechanism (e.g., the Bonn Challenge - a global initiative to restore 350 Mha worldwide by 2030
35 (<http://www.bonnchallenge.org>); or the Trillion-Tree-Campaign - a volunteer tree planting initiative).

36 Recent data show that net forest area additions outweighed forest loss. A recent analysis of satellite remote
37 sensing data estimated a net forest area gain, driven by forest expansion in extratropics outweighing tropical
38 deforestation, of 224 Mha since 1982 (Song et al. 2018). But uncertainties of forest area changes are large,
39 due to differences in methodology and forest classification (FAO 2015a). In many cases, forest area
40 expansion included also replacing native forests with plantations as in Chile (Heilmayr et al. 2016), China
41 (Hua *et al.*, 2018) or Cambodia (Scheidel & Work, 2018).



1
2 **Cross-Chapter Box 1, Figure 1 Efforts to increase forest area through afforestation and reforestation in the**
3 **world (Xu 2011; Kruger and Bennett 2013; Bennett and Kruger 2013; Aide et al. 2013; Bieger et al. 2015;**
4 **Piao et al. 2015; Delang and Yuan 2015; Deng et al. 2015; Vadell et al. 2016; Chirino-Valle et al. 2016;**
5 **Chazdon et al. 2016b; Poorter et al. 2016; Chen et al. 2017; Ahrends et al. 2017; Yin et al. 2018; Li et al.**
6 **2018b)**

7 **What are the impacts on ecosystems?**

8 The environmental impacts of AR depend largely on the state of land's degradation, prior land use and
9 natural land cover, the selected tree species, and the management practices used for their establishment and
10 maintenance (Laestadius et al. 2011; Dinerstein et al. 2015; Veldman et al. 2017)(see also Chapter 4). Costs
11 and trade-offs with other ecosystem services are increasingly examined and requiring a more careful
12 approach to AR policies as climate change mitigation mechanism.

13 **(1) Impacts on biogeochemical and biophysical processes**

14 AR on abandoned croplands with low soil fertility will increase C stocks rapidly, while they have been
15 shown to decrease (non-significantly) or remain at similar levels after conversion from managed grasslands
16 (Li et al. 2012; Shi et al. 2013; Bárcena et al. 2014). Forests in the temperate zone did not show significant
17 differences in soil C accumulation between conifer and deciduous species (Poeplau et al. 2011), whereas in
18 the boreal northern Europe C sequestration was greater when conifer species were planted compared with
19 deciduous and mixed forests (Bárcena et al. 2014). AR activities also affect N and P dynamics in soil. While
20 total soil N pools and P availability tends to increase with time after afforestation, in tropical plantations
21 substantial declines in total P stocks have been observed (Li et al. 2012; Deng et al. 2017; Liu et al. 2018)
22 . In arid and semi-arid regions planting broadleaf deciduous trees accumulated the highest C and N in soil
23 compared to coniferous or broadleaf evergreen forest (Liu et al. 2018).

24 Biophysical effects following land cover change are important for local climate and the water cycle
25 (Perugini et al. 2017). Both modelling and satellite estimates have shown that AR in the tropical zones

1 induces a cooling (compared to agricultural land) through increased evapotranspiration and surface
2 roughness that is greater than the warming effect from reduced albedo. In boreal areas the lower albedo of
3 forests dominates (especially in spring) and results in a net warming effect (Arora and Montenegro 2011;
4 Alkama and Cescatti 2016; Perugini et al. 2017). Thus, in tropical areas, AR (and: reduced deforestation)
5 can be a win-win for both global and local mitigation of climate warming when considering biophysical
6 processes, as well as biogeochemical processes (C sequestration) (Perugini et al. 2017), whereas outside
7 tropical regions, and regarding global-scale impacts of biophysical effects the picture is very complex (see
8 2.2 and 2.6).

9 **(2) Impacts on water balance**

10 Forests tend to impact water flows and quality by reducing runoff and soil particles and nutrients transported
11 in run-off (Salvati et al. 2014). Planting of fast-growing species in semi-arid regions or replacing natural
12 grasslands with forest plantations for industrial use can deplete soil water resources, including groundwater
13 recharge due to higher water consumption from evapotranspiration (Silveira et al. 2016; Zheng et al. 2016;
14 Cao et al. 2016). The most documented cases of AR-induced water scarcity are from China where
15 afforestation programs appear not to have been tailored to local precipitation conditions resulting in water
16 shortages and increased water scarcity (Li et al. 2014; Yang et al. 2014; Feng et al. 2016; Cao et al. 2016).
17 The lesson is that in drylands, afforestation faces the challenge of increased water scarcity (Zheng et al.
18 2016). Even in tropical conditions, the mitigation benefits from large-scale planting of woody vegetation
19 must be weighed against the potential to reduce the ecosystem's resilience against climate change through
20 hydrological cycle that may create long-term risks of water conflicts (Zheng et al. 2016).

21 **(3) Impacts on biodiversity**

22 Impacts of AR on biodiversity depend mostly on vegetation cover they substitute: afforestation on natural
23 grasslands or other naturally non-wooded ecosystems with plantations of exotic tree species can have
24 significant negative impacts on biodiversity (Parr et al. 2014; Veldman et al. 2015a; Bond 2016; Abreu et
25 al. 2017; Griffith et al. 2017; de Coninck et al. 2018). There are also concerns regarding the impacts of
26 some commonly used plantation species (e.g., Acacia and Pinus species) to become invasive (Padmanaba
27 and Corlett 2014).

28 Reforestation with mixes of native species, especially in areas that retain fragments of native forest, can
29 support biodiversity recovery, with positive social and environmental co-benefits (Cunningham et al. 2015;
30 Locatelli et al. 2015a; Dendy et al. 2015; Chaudhary et al. 2016). Even though species diversity in regrowing
31 forests is typically lower than primary forest, commercial plantations potentially can support biodiversity
32 unless plantations are monocultures (Brockerhoff et al. 2013; Pawson et al. 2013; Thompson et al. 2014).
33 Reforestation has been shown to improve links among existing remnant forest patches, increasing
34 movement, gene flow and effective population sizes of native species (Lindenmayer and Hobbs 2004;
35 Barlow et al. 2007; Gilbert-Norton et al. 2010).

36 **(4) Impacts on other ecosystem services and societies**

37 In principle, AR activities could benefit recreation, preservation and strengthening of cultural heritage and
38 indigenous values, ethnic medicine, and improved livelihoods (reduced resource conflicts, restoration of
39 local resources degraded by remote causes). However, there has been little assessment of these co-benefits
40 owing to a lack of suitable frameworks and evaluation tools (Baral et al. 2016).

41 Conversions of natural forests to industrial forest management are in conflict with needs of forest-dependent
42 people and community-based forest managements over access to natural resources (Gerber 2011; Baral et
43 al. 2016) and/or loss of customary rights over land use (Cotula et al. 2014; Malkamäki et al. 2018). A
44 common result is out-migration from the rural areas diminishing local uses of ecosystems as they are

1 replaced by monocultures (Gerber 2011). Policies promoting large-scale tree plantations should be
2 reappraised that is government subsidies that have crucially supported fast-wood plantations must be
3 reoriented towards community and other small-scale forest management (Bull et al. 2006).

4 **AR scenarios for land-based climate change mitigation**

5 Griscom et al. (2017) estimate the median mitigation potential from reforestation as 10 Gt CO₂ a⁻¹ until
6 2030 (95% confidence: 2.7–17.9 Gt CO₂ a⁻¹) if all grazing land in forested ecoregions was reforested.
7 Without assessing substantial demand-side measures, ranges calculated by integrated assessment models
8 (IAM, see Cross-Chapter Box 2: Scenarios) were 3.5-9.6 GtCO₂ a⁻¹ (Humpenöder et al. 2014; Kreidenweis
9 et al. 2016) for area changes of about 1500 vs. about 2580 Mha. Likewise, Houghton et al. (2015) estimate
10 about 500 Mha to be available in the tropics on lands previously forested but not currently used
11 productively. This could sequester at least 3.7 GtCO₂ a⁻¹ for decades. In all AR efforts, the sequestration
12 potential will eventually saturate unless the area keeps expanding or harvested wood is either used for long-
13 term storage products or as part of BECCS (Houghton et al. 2015; Fuss et al. 2018)(see also Chapter 2).

14 None of the scenario studies assessed biodiversity conservation, impacts on water balances, or other
15 ecosystem services as constraints. Considerable uncertainty in these estimates is also introduced by
16 potential forest losses from fire or pest outbreaks (Dantas et al. 2013a,b; Bond 2016; Abreu et al. 2017) .
17 REDD+-related forest conservation policies may generate unintended side-effects if cropland expansion
18 for agriculture is shifted to non-forested carbon-rich areas such as savannahs or temperate grasslands that
19 are of high biodiversity but not subject to forest conservation schemes (Don et al. 2008; Popp et al. 2014;
20 Parr et al. 2014a; Veldman et al. 2015; Fernandes et al. 2016; Abreu et al. 2017). AR benefits may also be
21 undercut by land use displacement, through trade of land-based products, especially from poor countries
22 that experience forest loss (e.g., Africa) (Bhojvaid et al. 2016; Jadin et al. 2016). And like all large-scale
23 land-uses, competition for land will impact food prices with detrimental societal impacts in regions where
24 GDP increase cannot compensate (Kreidenweis et al. 2016a).

25 **Conclusion**

26 AR offers low-technology and cost-effective options to enhance carbon sinks on suitable and available land.
27 Maintenance of that sink will require sustainable forest management, including harvest and utilisation of
28 the wood for long-lived wood products. While large-scale AR can have significant co-benefits, it will at
29 large-scales also lead to increased competition for land, with potentially adverse side-effects on food prices,
30 biodiversity, non-forest ecosystems and water availability for human consumption (Bryan and Crossman
31 2013; Smith et al. 2013; Kreidenweis et al. 2016; Boysen et al. 2017). Reforestation should be managed
32 with both adaptation and mitigation objectives in mind, and carbon sequestration benefits must be designed
33 to maximise synergies among diverse objectives (beyond carbon), and to avoid trade-offs, some of which
34 can be costly or unsustainable (Eggington et al. 2014; Locatelli et al. 2015a; Cao et al. 2016).

37 **1.3.2.2 Bioenergy and Bioenergy with carbon capture and storage (BECCS)**

38 Median BECCS net carbon uptake rates of >3 GtC.a⁻¹ by 2100 (delivering around 150–400 EJ yr⁻¹) have
39 been projected with Integrated Assessment Models in scenarios of achieving a 2°C warming target (Slade
40 et al. 2014; Smith et al. 2016; Rogelj et al. 2018), resulting in increases in cropland between about 10% and
41 40%, or even 100%, compared to present-day (Smith et al. 2016; Bonsch et al. 2016; Popp et al. 2016;
42 Krause et al. 2017). Modelled median land-use conversion rates exceed by more than threefold historical
43 observations of the most rapidly expanding crop (soybean; (Turner et al. 2018)). The large range of results
44 is based on varying assumptions on future land use intensity and rates of land use conversions (Smith et al.

1 2016; Bonsch et al. 2016; Krause et al. 2017; Turner et al. 2018). For comparison, the estimated carbon sink
2 on land for 2008–2017 was about 3.5 Gt C a⁻¹, while global primary energy consumption in 2011 was about
3 560 EJ (Slade et al. 2014; Le Quere et al. 2018).

4 Confidence in the net BECCS carbon uptake potential is *low*, due to: diverging assumptions on bioenergy
5 crop yields, the CCS energy demand and thus the net-GHG-saving of bioenergy systems, the cumulative
6 carbon-loss arising from natural vegetation clearance for bioenergy crops and subsequent land management
7 regimes, incomplete representation of important ecosystem processes such as legacy effects of historical
8 deforestation, tree growth and mortality, and gross changes in land use per regions (Anderson and Peters
9 2016; Bentsen 2017; Searchinger et al. 2017; Bayer et al. 2017; Fuchs et al. 2017; Pingoud et al. 2018;
10 Schlesinger 2018; Krause et al. 2018). Bioenergy provision under politically unstable conditions may also
11 be an issue (Erb et al. 2012; Searle and Malins 2015). It is *virtually certain* that growth of bioenergy crops
12 poses large challenges for maintaining food production and food prices, and avoiding detrimental effects
13 on other important ecosystem services and biodiversity (Creutzig et al. 2015; Smith et al. 2016; Bonsch et
14 al. 2016; Santangeli et al. 2016; Bren d’Amour et al. 2016b; Williamson 2016; Krause et al. 2017; Boysen
15 et al. 2017; Heck et al. 2018; Henry et al. 2018; Humpenoeder et al. 2018)

16 **1.3.2.3 Mitigation costs, efficiency measures, and mitigation-adaptation integration**

17 Mitigation costs are analysed through several metrics (social or private cost of carbon, carbon price, or
18 reduction in the gross domestic product) and measured at different scales (project, technology, sector or the
19 economy). The social cost of carbon (SCC) –measured in monetary units– refers to the present value of
20 costs that incur from marginal damage caused by an additional ton of CO₂ emissions. Estimates of SCC
21 depends on the time horizon, discount rate and the baseline emission scenario. Recent estimates of the social
22 cost of carbon for a middle of the road scenario are a global median of USD 417 with some of the largest
23 emitting countries incurring also an over-proportional share of these costs (Ricke et al. 2018). SCC is also
24 linked to the "costs of inaction" that arise either from the economic damages due to continued accumulation
25 of GHGs in the atmosphere and from the diminution in value of ecosystem services or the cost of their
26 restoration when feasible (Rodriguez-Labajos 2013; Ricke et al. 2018). At the macroeconomic level, cost
27 estimation considers the impacts of policies across all sectors and markets and analyses report cost measures
28 in terms of “GDP loss”, “consumption loss” or “reductions in growth rates”.

29 Generally, mitigation costs increase with stringent mitigation targets and over time, with sources of
30 uncertainty being the future availability, cost and performance of technologies (Rosen and Guenther 2015;
31 Chen et al. 2016) or lags in decision making, which have been demonstrated by the uptake of land use
32 policies (Alexander et al. 2013; Hull et al. 2015; Brown et al. 2018b). There is growing evidence of
33 significant mitigation gains through conservation, restoration and improved land management practices
34 (Griscom et al. 2017b) but the mitigation cost efficiency can vary according to region and specific
35 ecosystem (Albanito et al. 2016). Recent model developments that treat process-based human-environment
36 interactions have recognised feedbacks that notably reinforce or dampen the original stimulus for land use
37 change (Robinson et al. 2017; Walters and Scholes 2017). For instance, land mitigation interventions that
38 rely on large-scale land use changes (bioenergy, afforestation) would need to account for the rebound effect
39 whereby rising land prices raise the cost of land-based mitigation (Vivanco et al. 2016).

40 Adaptation can benefit mitigation in two ways – either by lowering mitigation opportunity cost or
41 alternatively, adaptation, through substitute or complement technologies may also shift the mitigation cost
42 lower for a given level of output. Several studies report that combining adaptation with mitigation generate
43 co-benefits to society (see 1.4.4) including positive impacts on land/soil restoration (countering land
44 degradation and desertification) and raised land productivity (for food security) (Altieri and Nicholls 2017;

1 Hof et al. 2017; Thierfelder et al. 2017; Di Gregorio et al. 2017; Nkonya et al. 2016) and biodiversity
2 protection (Tilman et al. 2017).

3

4 **1.3.3 Uncertainties in assessing land processes in the climate system**

5 In order to reflect various sources of uncertainties in the state of scientific understanding, IPCC assessment
6 reports provide estimates of confidence (Mastrandrea et al. 2011; Allen et al. 2018). The confidence
7 language is also used in the SRCCL. In general, the identification of anthropogenically forced changes in
8 climate and other environmental records (detection), and the assessment of the roles various contributors
9 play (attribution) remains a taxing aspect in both observations and models (Rosenzweig and Neofotis 2013;
10 Gillett et al. 2016; Lean 2018)(see also Chapter 2).

11 *1.3.3.1 Nature and scope of uncertainties related to land use*

12 *Uncertainties in observations*

13 The detection of changes in vegetation cover and structural properties, as a fundamental requirement to
14 assess land-use change, degradation and desertification, is continuously improving by enhanced space
15 observation capacity (Hansen et al. 2013; He et al. 2018; Ardö et al. 2018; Spennemann et al. 2018;) (see
16 also Table SM 1 in Supplementary Materials). The relative shortness of the satellite record, data gaps, and
17 differences in the definitions of major vegetation cover classes still provide major obstacles when aiming
18 to apply satellite observations to the detection of trends (Chen et al. 2014; Yu et al. 2014; Lacaze et al. 2015;
19 Alexander et al. 2017a). Analogously to remote sensing-based data, the picture of how soil organic carbon,
20 and greenhouse gas and water fluxes respond to land use change continues to improve through advances in
21 methodologies and sensors (Brümmer et al. 2017; Valayamkunnath et al. 2018; Kostyanovsky et al. 2018),
22 but here, too, measurements of the key variables related to land use change are affected by spatial and
23 temporal scale limitations, instrumentation resolution and data treatment algorithms (Smith and Gregory
24 2013; Peterson et al. 2017; Song 2018). In many developing countries, the costs of satellite remote sensing
25 analyses still remain a challenge, although technological advances can help to overcome this problem
26 (Santilli et al. 2018), while ground-based observations networks are often not available. Integration of
27 multiple data sources in model and data assimilation schemes reduces uncertainties (Li et al. 2017; Clark
28 et al. 2017; Lees et al. 2018).

29 *Uncertainties in early warning and decision support systems*

30 Early warning systems are a key feature of decision support systems and are becoming increasingly
31 important for sustainable land management and food security (Shtienberg 2013; Jarroudi et al. 2015) (see
32 also Chapter 7). Early warning systems can help to optimise fertiliser and water use, aid disease suppression,
33 and/or increase the economic benefit by enabling strategic farming decisions on when and what to plant
34 (Caffi et al. 2012; Watmuff et al. 2013; Jarroudi et al. 2015; Chipanshi et al. 2015). Their suitability depends
35 on the capability of the methods to accurately predict phenological crop or pest developments, which in
36 turn depends on expert agricultural knowledge, and the accuracy of the weather data used to run the
37 phenological models (Caffi et al. 2012; Shtienberg 2013).

38 *Uncertainties in model structures, parameterisations and inputs*

39 The lack of understanding which and how important process in climate, land and socio-economic systems
40 should best be described through algorithms are chief sources of uncertainty across models. Quantifying
41 model skill in benchmarking exercises, the repeated confrontation of models by observations to establish a
42 track-record of model developments and performance, is an important development to support the design

1 and the interpretation of the outcomes of model ensemble studies (Randerson et al. 2009; Luo et al. 2012;
2 Kelley et al. 2013)

3 The currently most widely used approaches to quantify model uncertainty in climate change, land use
4 change and ecosystem modelling are intercomparisons, often associated with the calculation of model-
5 ensemble means. Using means across a range of models implies that some of the structural and parameter-
6 related uncertainties diminish. But the use of model intercomparisons might unintentionally also lead to
7 models being “re-tuned” to fit better to the average model response results (Buisson et al. 2009; Parker
8 2013; Prestele et al. 2016). Although statistical methods to quantify impacts of within-model structural
9 characteristics on simulation results are available, they are computationally costly (Zaehle et al. 2005;
10 Wramneby et al. 2008; Arora and Matthews 2009; Booth et al. 2012; Xia et al. 2013; Ahlström et al. 2015).
11 In view of the often still untested model structural and parameter uncertainties, deriving estimates of
12 uncertainty from model intercomparison must be interpreted with caution (Parker 2013).

13 *Uncertainties arising from unknown futures*

14 Since AR5, an increasing number of studies have highlighted the large differences that exist in the extent
15 and location of future cropland, pasture and forest, both between scenarios, but also even within a single
16 scenario (Fuchs et al. 2015; Eitelberg et al. 2016; Popp et al. 2016; Prestele et al. 2016; Alexander et al.
17 2017a; Krause et al. 2017; Rogelj et al. 2018). Recently it was also shown that differences in projected land
18 cover changes caused by different model structure is similar in magnitude to differences attributable to
19 scenarios (Prestele et al. 2016; Alexander et al. 2017a) (see also Cross-Chapter Box 2: Scenarios). This
20 raises concerns if for a given RCP/SSP combination output from only one land-use model is harmonised
21 (Hurtt et al. 2011) since climate change or ecosystem models cannot investigate robustly the uncertainties
22 arising from uncertainties in land use change projections.

23 Initial studies have found that the uncertainty in ecosystem responses to different historical or future land
24 cover and land use estimates is at least of equal magnitude to that caused by different climate change
25 projections (Ahlstrom et al. 2013, 2012; Fuchs et al. 2016; Bayer et al. 2017; Arneth et al. 2017; Krause et
26 al. 2017, 2018). A broader range of harmonised scenarios available to the climate change and ecosystem
27 modelling community is therefore desirable. Likewise, for questions of sustainable land management, or
28 other questions of sustainable development, futures that achieve a number of set targets need to be explored
29 more explicitly (Reilly and Willenbockel 2010; Le Mouel and Forslund 2017). For instance, Erb et al.
30 (2016b) using a solution-oriented scenario analysis approaches, found it possible to meet global food
31 demand under the constraint of only little (or no) deforestation by 2050, contingent to decreasing meat
32 consumption or increasing yields (Erb et al. 2016b). Another study that explicitly explored within-model
33 parameter uncertainty found it impossible to stay within a global crop-area limit in addition to also
34 supplying sufficient food and limited bioenergy (Henry et al. 2018b). As normative scenarios are designed
35 to support sustainable visions their increasing use offers a useful way forward.

36

37 **Cross-Chapter Box 2: Scenarios**

38 **Contributing Authors:** Mark Rounsevell (UK), Almut Arneth (Germany), Katherine Calvin (USA),
39 Edouard Davin (Switzerland), Alexander Popp (Germany), Prajal Pradhan (Nepal), David Viner (UK)

40 **About this box**

41 The future is intrinsically unpredictable. This leads to large uncertainties in how land use might evolve into
42 the future. Yet a number of different methods (collectively known as *futures analysis*) can support the
43 exploration of future uncertainties, by making these uncertainties explicit and highlighting their

1 consequences in support of decision-making and strategic planning. Futures analysis comprises a number
2 of different and widely used methods, such as scenario analysis (Rounsevell and Metzger 2010),
3 envisioning or target setting (Kok et al. 2018), pathways analysis¹ (IPBES 2016; IPCC 2018) or conditional
4 probabilistic futures (van Vuuren et al. 2008; Engstrom et al. 2016; Henry et al. 2018a)(see also Cross-
5 Chapter Box 2, Table 1). All chapters of this assessment draw conclusions from futures analysis and so,
6 this cross-chapter box seeks to highlight the principle methods used, their application domains, their
7 uncertainties and limitations, and potential ways forward.

8 **Scenario analysis**

9 There is an extensive literature reporting on scenarios and their quantification in climate change and land
10 use change studies. This includes scenarios of climate change (Dokken 2014), land-based mitigation
11 (Humpenoeder et al. 2018) as well as climate impacts and adaptation (Warszawski et al. 2014). Many of
12 these scenarios are based on common scenario frameworks such as SRES (Smith et al. 2010) or the
13 RCPs/SSPs (Popp et al. 2016; Riahi et al. 2017; Doelman et al. 2018). Or, they are based on stylised
14 approaches that make stated assumptions about climate change solutions e.g. dietary change, food waste
15 reduction, afforestation areas (Pradhan et al. 2013, 2014; Kreidenweis et al. 2016; Rogelj et al. 2018b;
16 Seneviratne et al. 2018; Vuuren et al. 2018). Because of the diversity of available scenarios, attempts have
17 been made to categorise them into common sets of related scenarios or ‘archetypes’ based on the similarity
18 between their assumptions (IPBES 2018). Archetypes are useful in communicating the outcomes of a
19 diverse range of alternative scenarios (see Chapter 2).

20 The scenario method commonly combines a qualitative part based on ‘storylines’ or descriptive narratives
21 of the underlying causes (or drivers) of change (Rounsevell and Metzger 2010; O’Neill et al. 2014). These
22 storylines are often (but not always) quantified using computer models. There are many different types of
23 models that are used for this purpose based on very different modelling paradigms, baseline data and
24 underlying assumptions (Alexander et al. 2017a). In this box, we refer mostly to Integrated Assessment
25 Models (IAMs), land use models, ecosystem models (e.g., DGVMs, crop models) and Earth System models
26 (ESMs), since these model types are commonly applied at the global scale or for large regions (see Cross-
27 Chapter Box 2, Figure 1). It is important to note that there is large variability in the way individual models
28 represent processes even within the same generic model type. Hence, it is critical to understand the
29 uncertainties associated with the use of models as well as the uncertainties inherent within unknown futures
30 (Prestele et al. 2016; Alexander et al. 2017a). Scenarios can be implemented by domain experts, or include
31 a co-creation part that integrates the perspectives of stakeholders through participatory approaches (Kok et
32 al. 2014). Participatory approaches are often used when creating visions or targets as desired futures, since
33 these are designed to reflect stakeholder values, especially at regional scales. There are hardly any
34 examples, however, of the use of indigenous knowledge in participatory scenario approaches (IPBES 2018).

¹ FOOTNOTE: Different communities have a different understanding of the concept of *pathways*, as noted in the cross chapter box on scenarios in (IPCC 2018). Here we refer to pathways as solution-oriented trajectories that describe the actions required to move from today’s world to a set of future goals (IPCC 2018). It should be noted that the common use of the term pathways in the climate change literature as a synonym for projections or trajectories (e.g. RCPs/SSPs) is different from the use of the term elsewhere and this can lead to confusion.

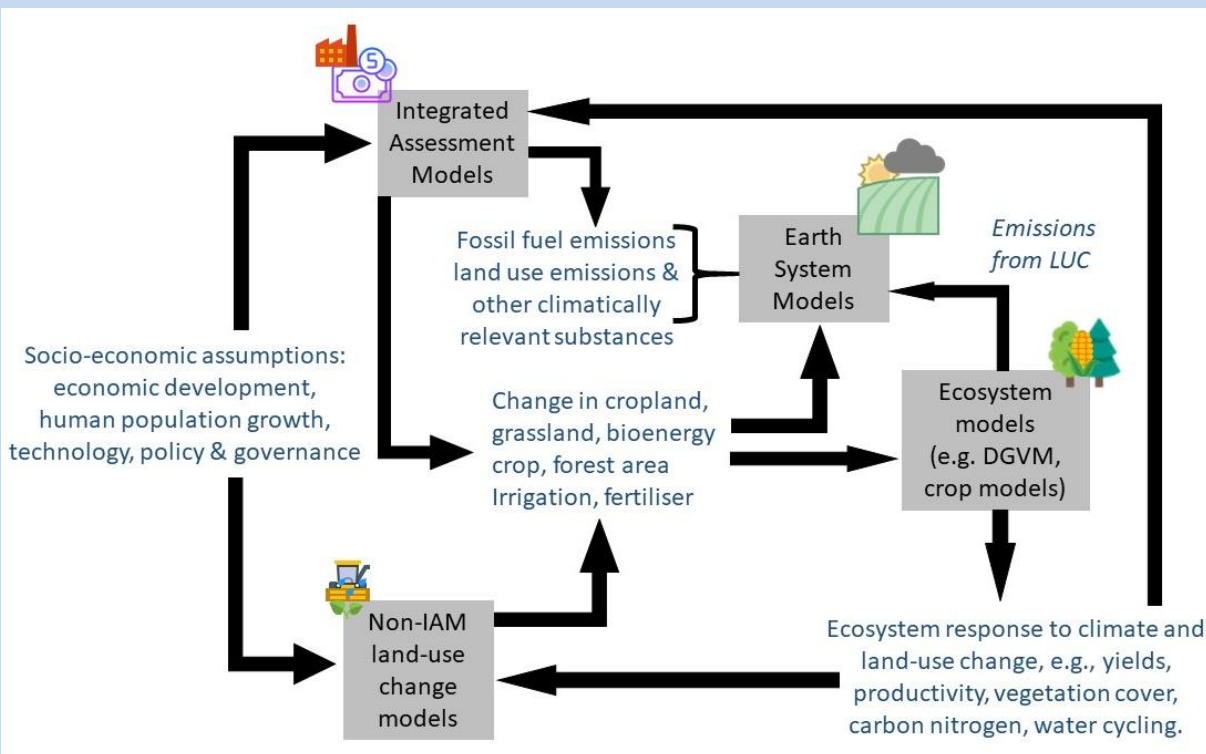
1
2**Cross-Chapter Box 2, Table 1 Description of the principle methods used in land and climate futures analysis**

Futures method	Description	Application domain	Time horizon	References
Exploratory scenarios	Trajectories of change in system components from the present to contrasting, alternative futures based on plausible and internally consistent assumptions about the development of the underlying drivers. There are 3 subsets of exploratory scenarios: a) Long-term trajectories; b) Business-as-usual scenarios; c) Policy scenarios	a) Climate system, land system and other components of the environment (e.g. biodiversity, ecosystem functioning, water resources and quality) b) A continuation into the future of current trends in key drivers to explore the consequences of current trajectories in the near-term c) Ex Ante analysis of the consequences of alternative policies based on known policy options or already implemented policy measures	a) 10-100 years b) 5-10 years c) 5-10 years to 10-100 years	(Warszawski et al 2014; Popp et al. 2016; Riahi et al. 2017; Alexander et al. 2018; Wolff et al. 2018; Calvin and Bond-Lamberty 2018;)
Stylised scenarios	Prescribed assumptions about specific components of the land system that are not necessarily internally-consistent with other drivers, and for which the feasibility may be unknown	Afforestation/reforestation areas, bioenergy areas, protected areas for conservation, consumption patterns (e.g. diets, food waste)	10-100 years	(2011; Pradhan et al. 2013, 2014; Humpenöder et al 2014; Foley et al. Boysen et al. 2017; Krause et al. 2017; Vuuren et al. 2018)
Normative scenarios (visions, target seeking scenarios)	Desired futures or outcomes that are aspirational	Environmental quality, societal development, human well-being, the RCPs	5-10 years to 10-100 years	(van Vuuren et al. 2011, 2015; Riahi et al. 2017; Henry et al. 2018b; Brown et al. 2018a)
Pathways	Alternative sets of choices, actions or behaviours that lead to a future vision (goal or target)	Socio-economic systems, governance and policy actions	5-10 years to 10-100 years	(Dokken 2014; Erb et al. 2016b; Brown et al. 2018a; IPBES 2018;)
Conditional probabilistic futures	Ascribe probabilities (as confidence ranges) to uncertain drivers that are conditional on scenario assumptions	Where some knowledge is known about driver uncertainties, e.g. population, economic growth, land use change	10-100 years	(Neill 2004; van Vuuren et al. 2008; Brown et al. 2014; Engstrom et al. 2016; Henry et al. 2018b)

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Cross-Chapter Box 2, Figure 1 outlines how scenarios are quantified with models. This includes the different components of the land and climate systems, how models can quantify these components as well as the interactions between them. Scenario outputs for a given system component can be analysed in

1 themselves, or they can be input to other models, such as land use change inputs to ecosystem models or
 2 Earth system models.



3
 4 **Cross-Chapter Box 2, Figure 1 Interactions between land and climate system components and models in**
 5 **scenario analysis**

6 There are global-scale scenarios of food security (Foley et al. 2011; Pradhan et al. 2013, 2014)(see also
 7 Chapter 5) and land-based, climate-change mitigation for example reforestation/afforestation, avoided
 8 deforestation and bioenergy (Kraxner et al. 2013; Humpenöder et al. 2014; Krause et al. 2017)(see also
 9 Chapter 2). There are fewer scenarios of desertification, land degradation and restoration (Wolff et al.
 10 2018)(see also Chapters 3 and 4). These studies have indicated that the role of socio-economic drivers is
 11 often more important for land use change than the role of climate change (Harrison et al. 2014, 2016). Of
 12 the socio-economic drivers considered, technological development is found to be important in many land
 13 use change scenario studies since it affects the production potential (yields) of food and bioenergy
 14 production as well as the feed conversion efficiency of livestock (Rounsevell et al. 2006; Foley et al. 2011;
 15 Wise et al. 2014; Pradhan et al. 2014). Furthermore, land management, especially intensification of crop
 16 and livestock systems can reduce yield gaps and thus the area of land needed for food production (Foley et
 17 al. 2011; Weindl et al. 2017; Kreidenweis et al. 2018) . Trends in consumption patterns, e.g. diets, waste
 18 reduction, have also been found to be critical in affecting land use change (Pradhan et al. 2013; Bajželj et
 19 al. 2014; Alexander et al. 2016; Weindl et al. 2017; Alexander et al. 2017b; Vuuren et al. 2018). Land-
 20 based mitigation through large-scale bioenergy production and afforestation will lead to trade-offs with
 21 food security (food prices), water resources and biodiversity (Humpenoder et al. 2014; Kreidenweis et al.
 22 2016; Krause et al. 2017; Calvin and Bond-Lamberty 2018; Heck et al. 2018).

23 In addition to global scale, land use change scenarios, regional scale scenarios have demonstrated that the
 24 regional impacts of climate change are highly variable geographically because of differences in both the
 25 climate change and socio-economic change scenarios (Harrison et al. 2014). Moreover, the capacity to

1 adapt to these impacts is strongly dependent on the regional socio-economic context and coping capacity
2 (Dunford et al. 2014). It has been shown that regional scenarios need to account for cross-sectoral and cross-
3 scale interactions to avoid either over- or under-estimating impacts (Harrison et al. 2016). Many regional
4 scale scenarios are co-created through stakeholder participatory methods, which provide additional richness
5 and context to storylines, as well as providing saliency and legitimacy for local stakeholders (Kok et al.
6 2014).

7 **Visions and pathways analysis**

8 Pathways analysis is important in moving beyond the *what if?* perspective of exploratory scenarios to
9 evaluate how desirable futures might be achieved in practice, recognising that there are often multiple
10 pathways to achieve the same future vision. Pathways analysis is highly relevant in support of policy, since
11 it outlines sets of actions and decisions to achieve future targets. Unlike scenario analysis, however, studies
12 that quantify pathways to achieve stylised assumptions or normative visions are still rare, especially at the
13 global scale, and this is a major gap in current knowledge (Dokken 2014). This includes quantified pathways
14 to achieve sustainability targets such as the SDGs (IPBES 2018). Whilst targets may be clearly articulated,
15 we do not know what societal choices, behaviour and transitions are needed to attain them, nor how these
16 socio-economic processes and decisions evolve through time. Improving capacity to quantify pathways
17 would greatly contribute to decision-making, especially with respect to achieving sustainable development
18 goals. Exploratory scenarios have focused more on the sustainable supply of land-based good and services
19 and less on sustainable consumption, with the exception of diets and reducing waste (Bajželj et al. 2014;
20 Pradhan et al. 2014; Springmann et al. 2018; Vuuren et al. 2018). Conversely, pathways analysis focuses
21 more on consumption and behavioural changes through transitions and transformative solutions (IPBES
22 2018).

23 Although largely qualitative in nature, pathways analyses have shown that multiple alternative pathways
24 exist to achieve the priorities for future sustainable development set by governments and societal actors that
25 mitigate trade-offs. Of these alternatives, the most promising tend to focus on long-term societal
26 transformations through continuous education, awareness raising, knowledge sharing and participatory
27 decision-making (IPBES 2018). In spite of this, there are almost always trade-offs in pathways that achieve
28 multiple sustainability targets (IPBES 2018). Priority in pathways is often given to cross-scale integration
29 and the mainstreaming of environmental objectives across policy sectors (IPBES 2018). Targets for land
30 restoration and protection could have the co-benefits of increasing global tree cover and increasing forest
31 and soil carbon stocks as well as protecting the land area with the highest value for both biodiversity and
32 carbon storage (Wolff et al. 2018).

33 **Probabilistic futures analysis**

34 Conditional probabilistic approaches are explicit about the uncertainties associated with scenario
35 parameters, and seek to explore the consequences for modelled outputs of the uncertainty ranges of these
36 parameters (Neill 2004). Whilst probabilities are ascribed to scenario parameter uncertainties (through a
37 probability density function), this is not the same as ascribing probabilities to outcomes, which occurs with
38 forecasts. Although forecasting is common in short-term weather prediction, the approach is unsuited to
39 the analysis of land use futures because of the longer time-horizons over which land use changes, and the
40 difficulties in ascribing probabilities to human-mediated processes. Only a few studies have applied the
41 conditional probabilistic approach to land use futures (Brown et al. 2014; Engstrom et al. 2016; Henry et
42 al. 2018b). These studies show that accounting for assumed uncertainties in the key drivers across different
43 scenarios leads to large ranges in land use change, for example global cropland areas of 893–2380 Mha by
44 the end of the 21st Century (Engstrom et al. 2016). They also find that normative land use futures may not
45 be achieved, even across a wide range of scenario parameter settings, because of trade-offs arising from the

1 competition for land (Henry et al. 2018b). Accounting for uncertainties across scenario assumptions can
2 lead to convergent outcomes for land use change, which implies that certain outcomes are more robust
3 across a wide range of uncertain scenario assumptions (Brown et al. 2014).

4 **What are the limitations of land use futures?**

5 The frameworks used to derive scenarios of land system change often derive from those developed within
6 the climate change community (e.g., SRES, RCPs/SSPs). This facilitates comparison and integration of
7 scenarios of climate change and land system change, but means that it can be difficult to apply these
8 frameworks to non-climate change questions (Rosa et al. 2017). This is because there is a wider range of
9 drivers (beyond climate change) that affect land systems and these drivers are not considered adequately in
10 storylines, parameter quantification, and outputs from models that are used to quantify scenarios. By not
11 adequately representing key drivers and processes in models, a narrow ‘climate-centric’ perspective can
12 limit the value of scenario studies.

13 Furthermore, for climate mitigation scenarios it is becoming increasingly important to assess the impact of
14 mitigation actions on the broader (non-climate) environment for example, biodiversity, ecosystem
15 functioning, air quality, food security, desertification/degradation and water cycles (Rosa et al. 2017). There
16 is also a need to assess how land use and climate change affect more broadly affect the wider environment.
17 This implies the need for a more encompassing and flexible approach to creating scenarios that considers
18 other environmental aspects, not only as a part of impact assessment, but also during the process of creating
19 the scenarios themselves.

20 There are a limited number of models that can quantify land use change scenarios at the global scale
21 (Dokken 2014) and there is large variance in the outcomes of these models (Alexander et al. 2017a). In
22 some cases, there is greater variability between the models themselves than between the scenarios that they
23 are quantifying, and these differences vary with geography (Prestele et al. 2016). These differences mostly
24 arise from variations in baseline datasets, thematic classes and modelling paradigms (Alexander et al.
25 2017a). With all models, it is important to be aware of the underlying assumptions in order to interpret
26 model output and the conclusions that are drawn from these studies. For this purpose, model evaluation is
27 critical in augmenting confidence in the outcomes of modelled futures (Ahlstrom et al. 2012; Kelley et al.
28 2013). Not all land use change models have, however, been evaluated against observational data, and the
29 extent of model evaluation is often not transparent. Hence, there is a clear need for more transparency in
30 modelling, especially concerning model evaluation and testing, including making model code available
31 along with complete sets of scenario outputs.

32 Modelled projections of global land-use change do not account well for human behaviour and social
33 interaction and how dynamically changing interactions between agents affect land use decision-making
34 (Rounsevell et al. 2014; Calvin and Bond-Lamberty 2018). This is largely because of the limitations of
35 representing these processes at global scales, but also because of a lack of understanding about how to
36 model human behaviour. The most commonly used approach to represent decision-making in global models
37 is through economic optimisation (Arneth et al. 2014). This limits the capacity of global models to account
38 for the human dimensions of land systems including equity, fairness, land tenure and the role of institutions
39 and governance, and therefore the use of these models to quantify transformative pathways, adaptation and
40 mitigation (Arneth et al. 2014; Rounsevell et al. 2014; Wang et al. 2016b). An important human behavioural
41 process that is rarely modelled is the diffusion of knowledge (Brown et al. 2018b) and its effect on uptake
42 rates of novel land use and management practices (Alexander et al. 2013; Turner et al. 2018). No model
43 exists at present that is able to represent complex human behaviours at the global scale, although approaches

1 for doing so have been discussed in the literature (Rounsevell et al. 2014; Arneth et al. 2014; Robinson et
2 al. 2017; Brown et al. 2017; Calvin and Bond-Lamberty 2018).

3 **What are the ways forward?**

4 On-going, model and scenario inter-comparison exercises (O'Neill et al. 2016) are important in
5 understanding differences between models and hence why models generate different land use and climate
6 futures, and this contributes to the further development of existing models. However, the next generation
7 of global scale, land use models need to better account for human behaviour and decision-making processes
8 (Rounsevell et al. 2014; Arneth et al. 2014; Calvin and Bond-Lamberty 2018), which would make them
9 better adapted to quantifying transitions to sustainable futures. For example, explicit inclusion of time lags
10 in land use decision-making (Alexander et al. 2013), involving the exchange of knowledge through social
11 networks (Brown et al. 2018b), would enable models and scenarios to better reflect rates of land
12 transformation (Turner et al. 2018). Such development would create a step-change in the capacity to model
13 pathways to sustainable futures such as the SDGs. More progress in applying pathways analysis, especially
14 in their quantification, would enable science to better support governmental policy processes. In spite of
15 the limitations, futures analysis remains the methodological bedrock of how to explore future uncertainties
16 in support of policy.

17 **1.3.3.2 Uncertainties in decision making**

18 Decision makers are faced with the task of developing and implementing policies that are based to varying
19 degrees on many knowns but also many unknowns (e.g., (Rosenzweig and Neofotis 2013; Anav et al. 2013;
20 Ciais et al. 2013; Stocker et al. 2013)(see also Chapter 7). Standard decision theory focuses mostly on the
21 *uncertainty of consequences*. In the context of IPCC, *risk* refers to the potential for adverse consequences
22 (e.g., arising from climate change impacts or from climate change mitigation measures) where something
23 of value is at stake and where the occurrence and degree of an outcome is uncertain (see glossary and 7.2.2).
24 How to discuss (and deal with) more information-poor decisions that go beyond the uncertainty of
25 consequences is much less clear (see Table SM2). In the context of climate change projections, the term
26 *deep uncertainty* is frequently used to denote situations where either the analysis of a situation is
27 inconclusive, or parties to a decision cannot agree on a number of criteria that would help to rank model
28 results in terms of likelihood (e.g., Hallegatte and Mach 2016; Maier et al. 2016) (see also Chapter 7).
29

30 **Decision making in the face of uncertainty**

31 The spectrum of the multitude of ways to deal with uncertain consequences can be spanned by two extreme
32 decision approaches: an (economic) cost-benefit analysis and a precautionary approach. A typical variant
33 of cost benefit analysis is the minimisation of negative consequences. This approach needs reliable
34 probability estimates (Gleckler et al. 2016; Parker 2013). The other end of the spectrum of decision
35 approaches, the precautionary approach provides a decision method that does not take into account
36 probability estimates (cf. Raffensperger and Tickner 1999):² In a nutshell, the focus here is on the worst
37 outcome only and it is to be avoided at any cost (Gardiner 2006).

38 In between these two extreme cases, various decision approaches are suggested that try to not only avoid
39 the deficits of cost-benefit analysis and a precautionary approach, but also addresses some of the other
40 uncertainties in a more reflective manner. Climate-informed decision analysis may combine various
41 approaches that start with exploring real options and the vulnerabilities and sensitivities of certain decisions.

² FOOTNOTE: Note that there are different versions of the precautionary approach. This is sometimes referred to as strong formulation of the precautionary principle in order to distinguish it from meta-decision criteria, so called weak formulations, as given, for example in the Rio Declaration on Environment and Development 1992.

1 Such an approach includes stakeholder involvement (e.g., elicitation methods), and can be combined with
2 for example, analysis of climate or land-use change modelling (Hallegatte and Rentschler 2015; Luedeling
3 and Shepherd 2016) (see also 7.1).

4 Though current decision making, despite faced with various uncertainties, often assumes that the future can
5 be predicted and thus develop optimal plans for some probable or likely future, flexibility in decision
6 making is facilitated by decisions are not set in stone and can change over time (Walker et al. 2013;
7 Hallegatte and Rentschler 2015). As regards COP21, one may argue that the breakthrough in agreeing on a
8 temperature threshold was made possible, amongst many other things, by a shift towards a “reasonable
9 pluralism” (e.g., Boran 2014), by starting to address various types of uncertainties. Generally, within the
10 deep uncertainty community a paradigm is emerging that requires to develop a strategic vision of the long-
11 or mid-term future, while committing to short-term actions and establishing a framework to guide future
12 actions (Haasnoot 2013).

14 **1.4 Response options to the key challenges**

15 The complexity of climate change and changes in the global socio-economic environment requires a
16 systemic link between food production and consumption, and land-resources more broadly to address the
17 identified challenges (Bazilian et al. 2011; Hussey and Pittock 2012). The ‘Nexus thinking’ emerged as an
18 alternative to sector-specific governance of natural resource use to achieve global securities of water
19 (D’Odorico et al. 2018), food and energy (Hoff 2011; Allan et al. 2015), and to address also biodiversity
20 concerns (Fischer et al. 2017). Yet to date there is no agreed upon definition of “nexus” nor a uniform
21 framework to approach the concept, which may be land-focused (Howells et al. 2013), water-focused (Hoff
22 2011) or food-centred (Ringler and Lawford 2013; Biggs et al. 2015). Significant barriers remain to
23 establish nexus approaches as part of a wider repertoire of responses to global environmental change,
24 including challenges to cross-disciplinary collaboration, complexity, political economy and incompatibility
25 of current institutional structures (Hayley et al. 2015; Leck et al. 2015; Wichelns, 2017) (see also Chapter
26 7).

27 A number of responses have been identified in the literature that underpin solutions to the challenges arising
28 from land management’s greenhouse gas emissions, and the loss of productivity arising from degradation
29 and desertification. These options rely on a) land management, b) value chain management and c) risk
30 management (see Figure 1.4). None of these response options are mutually exclusive, and it is their
31 combination in a regionally, context-specific manner that is most likely to achieve co-benefits between
32 climate change mitigation, adaptation and other environmental challenges in a cost efficient way (Griscom
33 et al. 2017a; Kok et al. 2018). Sustainable solutions affecting both demand and supply need to rely on more
34 than the carbon footprint and should be extended to other vital ecosystems like water, nutrients, and
35 biodiversity footprints (van Noordwijk and Brussaard 2014; Cremasch 2016). Here we use a select number
36 of examples that cut most prominently across food security, desertification, and degradation to illustrate
37 these concepts (see Chapter 6).

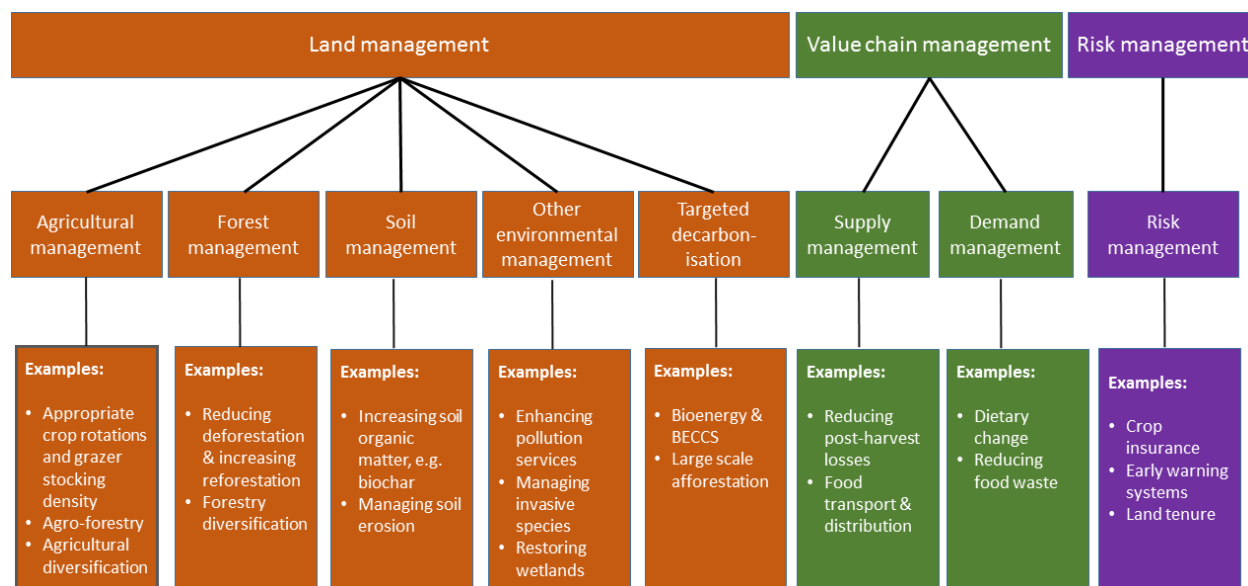


Figure 1.4 Broad categorisation of response options, categorised into three main classes and eight sub-classes. For illustration, figure includes examples of individual response options, for a complete list and description, see Chapter 6

1.4.1 Land Management

1.4.1.1 Agricultural, forest and soil management

Sustainable land management describes “the use of land resources for the production of goods to meet changing human needs while assuring the long-term productive potential of these resources and the maintenance of their environmental functions” (Alemu 2016, Altieri and Nicholls 2017)(see also Chapter 6), and conceptually includes ecological, technological and governance aspects.

The choice of SLM strategy employed is a function of regional context and land use types, with *high agreement* on (a combination of) choices such as agroforestry, conservation agriculture and forestry practices, crop and forest species diversity, appropriate crop and forest rotations, organic farming, integrated pest management, the preservation and protection of pollination services, rain water harvesting, range and pasture management, and precision agriculture systems (Stockmann et al. 2013; Ebert, 2014; Schulte et al. 2014; Zhang et al. 2015; Sunil and Pandravada 2015; Poeplau and Don 2015; Agus et al. 2015; Keenan 2015; MacDicken et al. 2015; Abberton et al. 2016). Conservation agriculture and forestry uses management practises with minimal soil disturbance such as no tillage or minimum tillage, permanent soil cover with mulch combined with rotations to ensure permanent soil surface, or rapid regeneration of forest following harvest (Hobbs et al. 2008; Friedrich et al. 2012). Precision agriculture is characterised by a “management system that is information and technology based, is site specific and uses one or more of the following sources of data: soils, crops, nutrients, pests, moisture, or yield, for optimum profitability, sustainability, and protection of the environment” (USDA 2007).

Enhancing the carbon content of soil and/or use of biochar (see Chapter 4) have increasingly moved into focus in recent years as a climate change mitigation option with possibly large co-benefits for other ecosystem services. Enhancing soil carbon storage and addition of biochar can be practised without competition for land area, but evidence is limited and impacts of large scale application of biochar on the full greenhouse gas balance of soils, or human health are yet to be explored (Gurwick et al. 2013; Lorenz and Lal 2014; Smith 2016).

1 **1.4.2 Value chain management**

2 *1.4.2.1 Supply management*

3 **Food losses from harvest to retailer.** Approximately one third of loss and waste occurs between crop
4 production and foods being eaten, increasing substantially if losses in livestock production and overeating
5 are included (Gustavsson et al. 2011; Alexander et al. 2017c). These losses combine losses on-farm and
6 from farm to retailer, as well as at the retailer and consumer level (see 1.4.2.2).

7 Post-harvest food loss on farm and from farm to retailer is a widespread problem especially so in the global
8 south (Xue et al. 2017). For instance, averaged for eastern and southern Africa an estimated 10–17% of
9 annual grain production is lost (Zorya et al. 2011). Across 84 countries, median losses in the supply chain
10 before retailing were estimated as about 28 kg per capita in case of cereals or about 12 kg per capita in case
11 of eggs and dairy products (Xue et al. 2017). For the year 2013, using FAO data, losses prior to the reaching
12 retailers were estimated as 20% (dry weight) of the production amount (22% wet weight) (Gustavsson et
13 al. 2011; Alexander et al. 2017c). Advancing harvesting technologies, storage capacity and efficient
14 transportation could all contribute to reducing these losses with co-benefits for food availability, land area
15 needed for food production and related greenhouse gas emissions.

16 **Stability of food supply, transport and distribution.** Increased climate variability enhances fluctuations
17 in world food supply and price variability (Warren 2014; Challinor et al. 2015; Elbehri et al. 2017). “Food
18 price shocks” need to be understood regarding their transmission across sectors and borders and impacts on
19 poor and food insecure societies (Lehmann et al. 2013; LE 2016; FAO 2015b). Trade can play an important
20 stabilising role for food supply, especially for regions with agro-ecological limits to production, including
21 water scarce regions, as well as regions that experience short term production variability due to climate,
22 conflicts or other economic shocks (Gilmont 2015; Marchand et al. 2016). Detrimental consequences in
23 countries in which trade dependency may accentuate the risk of food shortages from foreign production
24 shocks could be reduced by increasing domestic reserves or importing food from a diversity of suppliers
25 (Gilmont 2015; Marchand et al. 2016).

26 Climate mitigation policies might create new trade opportunities (e.g., biomass) (Favero and Massetti 2014)
27 or alter existing trade patterns (e.g., eco-labels like “mile food”; “local food”; carbon footprints). Food trade
28 can either increase or reduce the overall environmental impacts of agriculture. In the absence of sustainable
29 practices and when the ecological footprint falls outside the market system, trade can also exacerbate
30 resource exploitation and environmental leakages, thus weakening trade mitigation contributions (Mosnier
31 et al. 2014; Dalin and Rodríguez-Iturbe 2016; Elbehri et al. 2017).

32 Ensuring stable food supply while pursuing climate mitigation and adaptation, will benefit from evolving
33 trade rules and policies that allow internalisation of the cost of carbon (and costs of other vital resources
34 such as water, nutrients). Likewise, future climate change mitigation policies will gain from measures
35 designed to internalise the environmental costs of resources (Elbehri et al. 2017).

36 *1.4.2.2 Demand management*

37 **Dietary change.** Environmental impacts of the animal-rich “western diets” are being examined critically
38 in the scientific literature (Tilman and Clark 2014; Jalava et al. 2014; Hallström et al. 2015; Alexander et
39 al. 2015, 2016; Aleksandrowicz et al. 2016; Poore and Nemecek 2018). A study that assumed today’s
40 average diets from different countries to be eaten globally found the potentially required agricultural land
41 area necessary to sustain the different diets to vary 14-fold, depending on the degree of ruminant protein in
42 the average food intake (-55% to +178% compared to existing cropland)(Alexander et al. 2016). Reduction
43 of animal protein intake has been estimated to reduce global green and blue water use by 11% and 6%

1 (Jalava et al. 2014). A study that investigated the effect if consumers were to avoid meat only from
2 producers with above-median greenhouse gas emissions while halving their animal-product intake found
3 to free about 2100 Mha of agricultural land currently used for feed and fodder production and reduction in
4 greenhouse gas emissions by nearly 5 GtCO₂-eq a⁻¹ (Poore and Nemecek 2018).

5 Redirecting food diets towards being more healthy, equitable (addressing growing global nutrition
6 imbalances that emerge as undernutrition, malnutrition, and obesity) and climate-compatible requires a
7 combination of economic, social and policy responses. Food diets can be location and community specific,
8 are rooted in culture and traditions while responding to changing lifestyles driven by urbanisation and
9 changing income. Changing dietary and consumption habits would require a combination non-price
10 (government procurement, regulations, education and awareness raising) and price (Juhl and Jensen 2014)
11 incentives to induce consumer behavioural change.

12 **Reduced waste and losses in the food demand system.** Solutions to food waste and loss need to tackle
13 not only technical solutions (see Chapter 5) but also the economics of food since loss and waste of food
14 arises as an unintended side effect of supply chain efficiency and low cost food. Food waste at household
15 level is also derived from consumer behaviour, including overeating. Globally, overconsumption was found
16 to waste a similar amount of food to discarding by the consumer (9–10% to food bought; (Alexander et al.
17 2017c)). Consumer food waste has been shown to predominantly occur in rich countries, increasing with
18 per capita GDP and levelling at about 100 kg cap⁻¹ above about 70 000 USD cap⁻¹ (Xue et al. 2017). Across
19 countries median retailing losses for cereals, and eggs and dairy products were approximately one third of
20 losses post-harvest to retailer (Xue et al. 2017). Globally, retail losses are estimated as 3.6% dry weight and
21 5.7% wet weight (Gustavsson et al. 2011; Alexander et al. 2017c).

22 Food waste and loss, both on the supply and the demand-side, requires a combination of responses that are
23 technical, economic and institutional. This require more accurate data on the loss-source, -magnitude and -
24 causes along the food supply chain, and the deployment of economic instruments that can internalise the
25 cost of food waste reduction into the product price and induce a shift in consumer behaviour towards less
26 waste and perhaps even more nutritious, or alternative, food intake (FAO 2015d; Alexander et al. 2017c;
27 FAO 2018b).

28 29 **1.4.3 Risk management**

30 Risk management refers to the actions that individual land users or collectives of users can apply in
31 mitigating the risks associated with environmental change. Insurance and early warning systems are
32 obvious examples of risk management, but risk can also be reduced (or resilience enhanced) through land
33 ownership, seed sovereignty, livelihood diversification, reducing land loss through urban sprawl or through
34 the reduction of “land-grabbing”. Early warning systems support farmer decision making on management
35 strategies (see 1.3.3) and are a good example of an adaptation measure with mitigation co-benefits such as
36 reducing carbon losses (see 1.4.4 and Chapter 6). Primarily designed to avoid yield losses, early warning
37 systems also support fire management strategies in forest ecosystems, which also prevents carbon losses
38 (de Groot et al. 2015). Given that over recent decades on average around 10% of cereal production was lost
39 through extreme weather events (Lesk et al. 2016), where available and affordable, insurance can buffer
40 farmers and foresters against the financial losses incurred through such weather and other (fire, pests)
41 extremes (Falco et al. 2014). Decisions to take up insurance are influenced by a range of factors such as the
42 removal of subsidies or targeted education (Falco et al. 2014). Enhancing access and affordability of
43 insurance in low-income countries is a specified objective under the UNFCCC (Linnerooth-Bayer and

1 Mechler 2006). A global mitigation co-benefit of insurance schemes may also include the possible
2 incentivisation of future risk reduction (Surminski and Oramas-Dorta 2014).

3

4 **1.4.4 Adaptation measures and scope for co-benefits with mitigation**

5 Seeking to integrate strategies for achieving adaptation and mitigation goals is attractive as without
6 integrations these two agendas can compete for limited resources (Lobell et al. 2013; Berry et al. 2015), or
7 are considered as discrete response actions, therefore amounting to missed opportunities for exploiting
8 interrelationships. Adaptation tackles the underlying causes (informational, capacity, financial,
9 institutional, and technological) of both biophysical and socio-economic vulnerability (Huq et al. 2014) and
10 is increasingly linked to resilience and to broader development goals (Huq et al. 2014). Adaptation measures
11 can increase performance of mitigation projects under climate change and legitimise mitigation measures
12 through the more immediately felt benefits from adaptation (Locatelli et al. 2011; Campbell et al. 2014;
13 Locatelli et al. 2015b). But, trade-offs between adaptation and mitigation also exist and these need to be
14 understood (and avoided) to establish win-win situations (Porter et al. 2014; Kongsager et al. 2016).

15 In the context of SRCCL, adaptation measures include improving land productivity, land restoration and
16 rangeland management (Derner and Augustine 2016; Fick et al. 2016), soil health restoration (including
17 water and nutrients, soil carbon) (Chen et al. 2014a; FAO and ITPS 2015; Stavi et al. 2016), managing
18 water scarcity and equitable access to water (Brauman et al. 2013; Granados et al. 2015; Lemieux et al.
19 2014; Scheierling and Treguer 2016; Maskey et al. 2015); protecting pollination services (Bartomeus et al.
20 2013; Woodcock et al. 2014; Holland et al. 2015); sustainable cropping practices, agroecology and genetic
21 diversity (including minor, but locally significant crops) (Ebert and W. 2014; Sunil and Pandravada 2015;
22 Gaba et al. 2015; Janila et al. 2016); agroforestry (Schroth et al. 2016; van Noordwijk et al. 2014); and
23 building resilient livestock systems (e.g., adapted livestock breeds in drylands) (Weindl et al. 2015; Leroy
24 et al. 2016). These agricultural adaptation options have been shown to have positive synergies with
25 mitigation, including reduced soil erosion and reduced leaching of nitrogen and phosphorus (which reduces
26 soil carbon loss and maintains and enhances productivity), enhanced soil moisture (which also maintains
27 or enhances productivity), or modification of microclimates (Mader et al. 2002; Smith and Olesen 2010;
28 Jarvis et al. 2011).

29 From a forestry perspective, *Tropical reforestation* of degraded lands through mechanisms such as REDD+
30 (reducing emissions from deforestation and forest degradation) can produce large synergies between
31 mitigation, through forests' function as carbon storage, and adaptation (Locatelli et al. 2011; Rahn et al.
32 2014). Reforestation projects, if well managed, can increase communities' economic opportunities that
33 encourage conservation (Nelson and de Jong 2003), capacity building through training of farmers and
34 installation of multifunctional plantations with income generation (Reyer et al. 2009), strengthened local
35 institutions (Locatelli et al. 2015a) and increased cash-flow to local forest stakeholders from foreign donors
36 (West 2016). Increasing adaptive capacity in forested areas has the potential to prevent deforestation and
37 forest degradation (Locatelli et al. 2011). Permeability of storage can be secured through management
38 practices (Kant and Wu 2012). Reforestation is associated with improved water filtration, ground water
39 recharge and flood control (Ellison et al. 2017; Griscom et al. 2017a), reduced flooding through decreased
40 river peak flow, improved water quality and groundwater recharge (Berry et al. 2015), and reduced climate
41 impacts on biodiversity (Locatelli et al. 2015a), although not all of these aims have been achieved with
42 existing large-scale reforestation efforts (see Cross-Chapter Box 1: Large scale reforestation and
43 afforestation).

1 1.5 Enabling the response

2 1.5.1 Governance to enable the response

3 *Governance* (see Chapter 7) includes all of the processes, structures, rules and traditions that govern, which
4 may be undertaken by formal and informal actors including governments, markets, organisations, and their
5 interactions with people. Two types of governance actors may be distinguished: those affecting driving
6 forces such as policies and markets, and those directly changing land (Hersperger et al. 2010). The former
7 includes governments and administrative entities, large companies investing in land, non-governmental
8 institutions and international institutions. It also includes UN agencies that are working at the interface
9 between climate change and land management, such as the Food and Agriculture Organization and the
10 World Food Programme that have *inter alia* worked on advancing knowledge to support food security
11 through the improvement of techniques and strategies for more resilient farm systems. Farmers and foresters
12 directly act on land (actors in proximate causes) (Hersperger et al. 2010)(see also Chapter 7).

13 Policy implementation is often strongly sectoral. For example, agricultural policy might be concerned with
14 food security, but with little concern for environmental protection or human health. As food, energy and
15 water security and the conservation of biodiversity rank high on the Agenda 2030 for Sustainable
16 Development, the promotion of synergies between sectoral policies is important (IPBES, 2018) in order to
17 reduce the risks of anthropogenic climate forcing through mitigation, and to bring greater collaboration
18 among scientists, policy makers, private sector and land managers in adapting to climate change (FAO
19 2015a). Adaptive governance (see Chapter 7) starts with nationally and globally collective decision making,
20 and the development of coherent policy mixes arising from a cross-sectoral, systemic ways of thinking. It
21 further involves the continuous and pragmatic assessment of the effectiveness of a policy mix and its
22 flexible adjustment.

23 Appropriate policy mixes that underpin response options may be fostered by a systemic understanding of
24 the multiple environmental and socioeconomic challenges at hand. Implementation of systemic, nexus
25 approaches (see 1.4) has been achieved through socio-ecological systems (SES) frameworks that emerged
26 from the institutional analysis and development framework applied to studies of how institutions affect
27 human incentives, actions and outcomes (Ostrom and Cox 2010). These frameworks (Ostrom 2009) laid
28 the basis for alternative formulations to tackle the sustainable management of land resources focusing
29 specifically on institutional and governance outcomes (Lebel et al., 2006; Ribor et al., 2006) and addressing
30 the scale concordance between the social and ecological dimensions (Veldkamp et al. 2011; Myers et al.
31 2016; Azizi et al. 2017) (see also 6.2.2).

32 Adaptation or resilience pathways within the SES framework require several attributes, including
33 indigenous and local knowledge (ILK) and trust building for deliberative decision making and effective
34 collective action, polycentric and multi-layered institutions and responsible authorities that pursue just
35 distributions of benefits to enhance the adaptive capacity of vulnerable groups and communities (Lebel et
36 al. 2006). The nature, source, and mode of knowledge generation are critical to ensure that sustainable
37 solutions are community-owned and fully integrated within the local context (Mistry and Berardi 2016;
38 Schneider and Buser, 2018). Integrating local and indigenous knowledge with scientific information is a
39 prerequisite for such community-owned solutions. ILK is context-specific, transmitted orally or through
40 imitation and demonstration, adaptive to changing environments, collectivised through a shared social
41 memory, and situated within (Mistry and Berardi 2016). ILK is also holistic since indigenous people do not
42 seek solutions aimed at adapting to climate change alone, but instead look for solutions to increase their
43 resilience to a wide range of shocks and stresses (Mistry and Berardi 2016). ILK can be deployed in the

1 practice of climate governance especially at the local level where actions are informed by the principles of
2 decentralisation and autonomy (Chanza and de Wit 2016). ILK need not be viewed as needing confirmation
3 or disapproval by formal science, but rather it can advance science and serve to complement scientific
4 knowledge (Klein et al. 2014).

5 The capacity to apply individual policy instruments, and in combination to apply instruments as policy
6 mixes, is influenced by governance modes. These modes include hierarchical governance that is centralised
7 and imposes policy through top-down measures, decentralised governance in which public policy is
8 devolved to regional or local government, public-private partnerships that aim for mutual benefits for the
9 public and private sectors and self or private governance that involves decisions beyond the realms of the
10 public sector (IPBES 2018). These governance modes provide both constraints and opportunities for key
11 actors that affect the effectiveness, efficiency and equity of policy implementation.

12

13 **1.5.2 Gender agency as a critical factor in climate and land sustainability outcomes**

14 Women farmers make up more than half of the agricultural workforce in some low- and middle-income
15 countries and, in that role, play a crucial role for the management of natural resources (FAO 2017). The
16 overall gender disparity between rights and actual rural land ownership between men and women continues
17 to have implications for land use (Omolo 2010; Deere and León de Leal 2014). Rural and indigenous
18 women continue to have limited access to and property rights for forests and agricultural land (Bose et al.
19 2017). Women's traditional knowledge can add value to a society's knowledge base and support adaptation
20 practices towards climate change (Lane and McNaught 2009), but this knowledge is also under increasing
21 pressure considering the rate, severity and distribution of climate change impacts. It is important to address
22 gender related asymmetries in creating a level playing field amongst social groups and to reduce the
23 tendencies of unequal societies and entrenched incidences of poverty (Bose et al. 2017). This involves
24 respecting countries with unique social values, cultures and institutional mechanisms and, in turn, identify
25 the ways in which these social norms play a role in women's social and economic empowerment, including
26 entrepreneurship (see 6.2.2).

27

28 **1.5.3 Policy Instruments**

29 Policy instruments enable governance actors to respond to environmental and societal challenges through
30 policy action. Examples of the range of policy instruments available to public policy-makers is given in
31 Table 1.2, based on four categories of instruments: legal and regulatory instruments, rights-based
32 instruments and customary norms, economic and financial instruments and social and cultural instruments.

33

1 **Table 1.2 Categorisation of the different policy instruments that are relevant to the land challenges addressed**
 2 **in this assessment, and examples (IPBES 2018)(see also Chapter 7)**

<i>Legal and regulatory</i>	<i>Economic and financial</i>	<i>Rights-based and customary norms</i>	<i>Social and cultural</i>
<ul style="list-style-type: none"> • Legislation • Environmental standards • Liability rules • Technology requirements 	<ul style="list-style-type: none"> • Taxes, tax relieves • Fees, charges • Emissions trading • Subsidies • Payment for ecosystem services • Compensation payments 	<ul style="list-style-type: none"> • Human rights • Collective (access) rights, e.g., common land • Heritage (sacred sites, peace parks) • Institutions of indigenous people and local communities 	<ul style="list-style-type: none"> • Education, Information • Certification • Voluntary agreements • Corporate social responsibility

3

4 **1.5.3.1 Legal & regulatory instruments**

5 Legal and regulatory instruments deal with all aspects of intervention by public policy organisations to
 6 correct market failures, expand market reach, or intervene in socially relevant areas with inexistent markets.
 7 Such instruments can include legislation to limit the impacts of intensive land management, for example,
 8 protecting areas that are susceptible to nitrate pollution or soil erosion. But also setting standards or
 9 threshold values, for example, mandated water quality limits, organic production standards, or
 10 geographically defined regional food products. Legal and regulatory instruments may also define liability
 11 rules, for example where environmental standards are not met, as well as establishing long-term agreements
 12 for land resource protection with land owners and land users.

13 **1.5.3.2 Economic and financial instruments**

14 Economic and financial instruments deal with the many ways in which public policy organisations can
 15 intervene in markets. This includes established market-based instruments such as taxes, but also the
 16 subsidies that are provided to land users to encourage certain production strategies or for cross-compliance
 17 with environmental quality objectives, for example, nature protection or water quality. Trading, for
 18 example, emissions trading, habitat trading (and banking) and ecological fiscal transfers, are also important
 19 methods in generating beneficial outcomes for land resources from markets.

20 Effective, market-led responses for climate mitigation depend on business models that fully internalise the
 21 cost of emissions into economic calculations. Such “business transformation” would itself require
 22 integrated policies and strategies that aim to achieve full accounting of emissions for economic activities
 23 (Biagini and Miller 2013; Weitzman 2014; Eidelwein et al. 2018). Market-based policies such as carbon
 24 taxes or green payments have been promoted to encourage markets and businesses to contribute to climate
 25 mitigation, but their effectiveness to date has not always matched expectations (Grolleau et al. 2016).
 26 International initiatives such as REDD+ and agricultural commodity roundtables (beef, soybeans, palm oil,
 27 sugar) are also expanding the scope of private sector participation in climate mitigation (Nepstad et al.
 28 2013), but their impacts have not always been effective (Denis et al. 2014). Moreover, commodity
 29 roundtables seek to exclude unsustainable farmers from commodity markets through international social
 30 and environmental standards (Nepstad et al. 2013).

1 Payments for environmental services (PES) defined as “*voluntary transactions between service users and*
2 *service providers that are conditional on agreed rules of natural resource management for generating*
3 *offsite services*” (Wunder 2015) have not worked as effectively as originally theorised (Börner et al. 2017).
4 PES in forestry were shown to be effective only when coupled with appropriate regulatory measures (Alix-
5 Garcia and Wolff 2014). Better designed and expanded PES schemes would encourage integrated soil-
6 water-nutrient management packages (Stavi et al. 2016), services for pollinator protection (Nicole 2015),
7 water use governance under scarcity and engage both public and private actors (Loch et al. 2013). Effective
8 PES also requires better economic metrics to account for human-directed losses in terrestrial ecosystems
9 and to food potential, and to address market failures or externalities unaccounted for in market valuation of
10 ecosystem services.

11 For climate change adaptation, much is required to mobilise private sector financial resources and technical
12 capacity, supported by government policies and regulations in developing innovative climate services and
13 adaptation technologies (Biagini and Miller 2013). Governments, private business, and community groups
14 could also partner to develop sustainable production codes (Chartres and Noble 2015), and in co-managing
15 land-based resources (Baker and Chapin 2018), while private-public partnerships can be effective
16 mechanisms in deploying infrastructure to cope with climatic events (floods) and for climate-indexed
17 insurance (Kunreuther 2015).

18 Resilient strategies for climate adaptation can also rely on the construction of markets through social
19 networks, as in the case of livestock systems (Denis et al. 2014) or when market signals encourage
20 adaptation through land markets (Anderson et al. 2018). Adequate policy support (through regulations,
21 investments in research and development or support to social capabilities) must compliment private
22 initiatives for effective solutions to restore degraded lands (Reed and Stringer 2015), or mitigate against
23 risk and to avoid shifting risks to the public (Biagini and Miller 2013). Private initiatives that depend on
24 trade for climate adaptation and mitigation require reliable trading systems that do not impede climate
25 mitigation objectives (Elbehri et al 2015; Mathews 2017).

26 ***1.5.3.3 Rights-based instruments and customary norms***

27 Rights-based instruments and customary norms deal with the equitable and fair management of land
28 resources for all people (IPBES 2018). These instruments emphasise the rights in particular of indigenous
29 peoples and local communities, including for example, recognition of the rights embedded in the access to,
30 and use of, common land. Common land includes situations without legal ownership (e.g., hunter-gathering
31 communities in south America or Africa and bushmeat), where the legal ownership is distinct from usage
32 rights (Mediterranean transhumance grazing systems), or mixed ownership-common grazing systems (e.g.,
33 Crofting in Scotland). A lack of formal (legal) ownership has often led to the loss of access rights to land,
34 where these rights were also not formally enshrined in law, which especially impacts indigenous
35 communities, for example, deforestation in the Amazon basin. Overcoming the constraints associated with
36 common-pool resources (forestry, fisheries, water) are often of economic and institutional nature (Hinkel
37 et al. 2014) and require tackling the absence or poor functioning of institutions and the structural constraints
38 that they engender through access and control levers using policies and markets and other mechanisms
39 (Schut et al. 2016). Other examples of rights-based instruments include the protection of heritage sites,
40 sacred sites and peace parks (IPBES 2018). Rights-based instruments and customary norms are consistent
41 with the aims of international and national human rights, and the critical issue of liability in the climate
42 change problem.

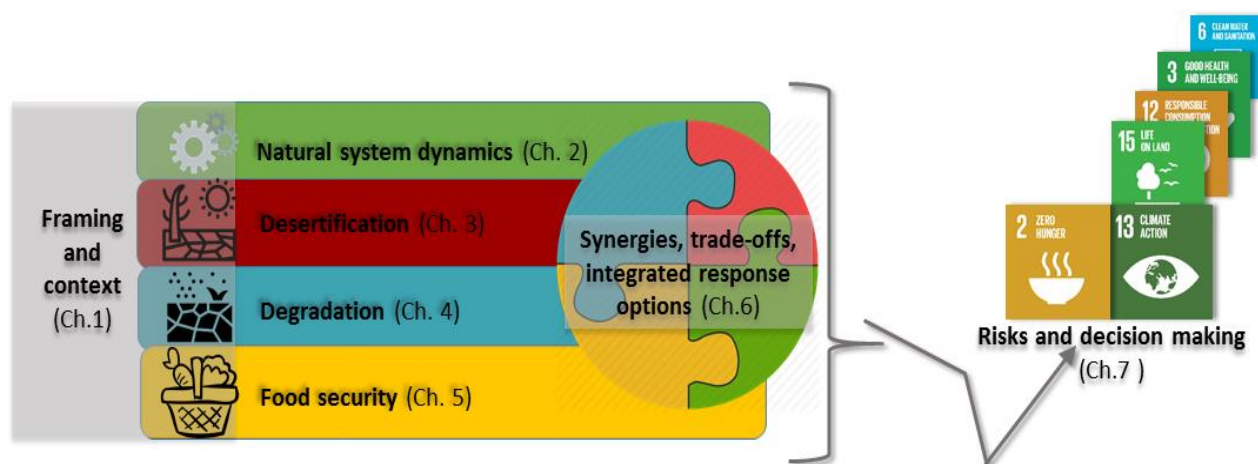
1.5.3.4 Social and cultural instruments

Social and cultural instruments are concerned with the communication of knowledge about improved land management through awareness raising, education and the communication of quality and provenance of land-based products. Examples of the latter include ecolabelling and certification, which target consumers in making more informed choices about their consumption habits. Eco-labels (Appleton 2009) and institutions (agricultural commodity roundtables; social networks) (Nepstad et al. 2013; Denis et al. 2014) are also expanding the scope of private sector participation in climate mitigation. Footprint labels can be an effective means of causing behavioural change by consumers. However, private labels focusing on a single metric (e.g., carbon) may give misleading signals if they target a portion of the life cycle (e.g., transport) (Appleton 2009) or ignore other ecological indicators (water, nutrients, biodiversity)(van Noordwijk and Brussaard 2014). Social and cultural instruments also include approaches to self-regulation and voluntary agreements, especially with respect to environmental management and land resource use. This is becoming especially important in the increasingly important domain of corporate social responsibility.

14

1.6 Introduction of the remaining chapters & story of the report

Land use is an environmental challenge but can also contribute to address climate change, hence, land gives us an opportunity to maximise the several solutions that exist, beyond energy based solutions. Thus, land use is at the heart of sustainable development as formalised in the Sustainable Development Goals (SDGs) (see Figure 1.5). This report should help us to assess how land can be used in a way to contribute to achieving the SDGs. Chapter 2 concentrates on the natural system dynamics, assessing recent progress that has been made towards understanding impacts of climate change on land, and feedbacks arising from altered biogeochemical and biophysical exchange fluxes. Chapters 3 to 5 concentrate on the report's key terms "desertification", "degradation" and "food security".



24

25

Figure 1.5 Overview over the SRCLL

26

Chapter 3 examines how the world's dryland populations are uniquely vulnerable to desertification and climate change, but also have significant knowledge in adapting to climate variability and addressing desertification. Chapter 4 assesses the urgency of addressing land degradation. Despite accelerating trends of land degradation, reversing these trends seems attainable through proper implementation of SLM, which is expected to improve resilience to climate change, mitigate climate change, and ensure food security for

31

1 generations to come. Food security is the focus of Chapter 5, with an assessment of the risks and
2 opportunities that climate change presents to food systems, considering how mitigation and adaptation can
3 contribute to both human and planetary health.

4 Chapters 6 and 7 continue the exploration of the issues identified in Chapter 1 and to provide a cross-chapter
5 synthesis which brings out the key messages related to the manifold interlinkages, and identify integrative
6 (win: win) response options, related to the SDGs. Chapter 7, highlights these aspects further, especially
7 regarding the challenges and opportunities that arise in the broader climate land interactions.

8

9 **Frequently Asked Questions**

10 **FAQ 1.1 What is the role of technology and innovation in land-based mitigation and adaptation** 11 **options?**

12 The role of technologies and innovations is to facilitate and provide more robust and efficient options for
13 mitigation and adaptation to climate changes. Recent advances include IoT devices (internet of Things),
14 which were developed mostly for industry applications, and are now frequently applied in agriculture
15 management with low cost, highly dense sensor networks. Space observations and aerial digital imaging
16 are supporting farm operations via increased availability of satellite products and the development of
17 unmanned airborne platforms (i.e. drones). Furthermore, big-data analytics and biogeochemical models are
18 becoming increasingly used in new decision supporting tools. New crop varieties, new soil carbon
19 accumulation technologies, and a variety of low inputs agriculture practices (including livestock
20 management) have been made available to farmers. The suites of such technologies are often referred as
21 Climate Smart Agriculture or Forestry. Although great progress is occurring in technology and innovation
22 in land use, still implementation, particularly in developing economies, is lagging behind. Technological
23 innovation will need to play a key role – but is not enough. Managerial and institutional innovations are
24 likely to be even more important in dealing with the heterogeneous and uncertain impacts of climate change.

25

26 **FAQ 1.2 How region-specific are the impact of different land-based adaptation and mitigation** 27 **options?**

28 Land based adaptation and mitigation options are closely related to regional specific features for several
29 reasons. Climate change has a definite regional pattern with some regions already suffering from enhanced
30 climate extremes and others being impacted little, or even benefiting. From this point of view increasing
31 confidence in regional climate change scenarios is becoming a critical step forward towards the
32 implementation of adaptation and mitigation options. Biophysical and socio-economic impacts of climate
33 change depend on the exposures of natural ecosystems and economic sectors, which are again specific to a
34 region, reflecting regional sensitivities due to governance. The overall responses in terms of adaptation or
35 mitigation capacities to avoid and reduce vulnerabilities and enhance adaptive capacity, depend on
36 institutional arrangements, socio-economic conditions, and implementation of policies, many of them
37 having definite regional features. However global drivers, such as agricultural demand, food prices,
38 changing dietary habits associated with rapid social transformations (i.e. urban versus rural, meat versus
39 vegetarian) may interfere with regional specific policies for mitigation and adaptation options and require
40 the global level to be addressed.

41

1 **FAQ 1.3 What is the difference between desertification and land degradation? And where are they**
2 **happening?**

3 The difference between land degradation and desertification is geographic. Land degradation is a general
4 term used to describe a negative trend in land condition anywhere in the world, resulting in long -term
5 reduction or loss of the biological productivity of land, its ecological integrity or its value to humans, caused
6 by direct or indirect human-induced processes, including climate change. Desertification is land
7 degradation when it occurs in arid, semi-arid, and dry sub-humid areas, which are also called drylands.
8 Contrary to some perceptions, desertification is not restricted to expansion of deserts. Desertification is also
9 not limited to irreversible forms of land degradation. Desertification includes all forms and levels of land
10 degradation in arid, semi-arid, and dry sub-humid areas.
11

12

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1 Supplementary Material

2 Table S 1 Observations related to variables indicative of land management, and their uncertainties 3 (possible table/box to be placed in the chapter)

LM-related process	Observations methodology	Scale of observations (space and time)	Uncertainties ³	Pros and cons	Select literature
GHG emissions	Micrometeorological fluxes (CO ₂)	1-10 ha 0.5hr- >10 y	5-15%	<u>Pros</u> Larger footprints Continuous monitoring Less disturbance on monitored system	(Richardson et al. 2006; Luysaert et al. 2007; Foken and Napo 2008; Mauder et al. 2013; Peltola et al. 2014; Wang et al. 2015;
	Micrometeorological fluxes (CH ₄)		10-40%	Detailed protocols	Rannik et al. 2015;
	Micrometeorological fluxes (N ₂ O)		20-50%	<u>Cons</u> Limitations by fetch and turbulence scale Not all trace gases	Campiola et al. 2016; Rannik et al. 2016; Wang et al. 2017a; Brown and Wagner-Riddle 2017; Desjardins et al. 2018)
	Soil chambers (CO ₂)	0.01-1 ha 0.5hr - 1 y	5%-15%	<u>Pros</u> Relatively inexpensive	(Vargas and Allen 2008; Lavoie et al. 2015; Barton et al. 2015; Dossa et al. 2015;
	Soil chambers (CH ₄)		5%- 25%	Possibility of manipulation experiments	Ogle et al. 2016;
	Soil chambers (N ₂ O)		53%- 100% ⁴	Large range of trace gases	Pirk et al. 2016; Morin et al. 2017; Lammirato et al. 2018)
				<u>Cons</u> Smaller footprint Complicate upscaling Static pressure interference	
	Atmospheric inversions (CO ₂)	Regional 1->10 y	50%	<u>Pros</u> Integration on large scale	(Wang et al. 2017b)
	Atmospheric inversions (CH ₄)		3-8%	Attribution detection (with 14C)	(Pison et al. 2018)

³ FOOTNOTE: Uncertainty here is defined as the coefficient of variation CV. In the case of micrometeorological fluxes they refer to random errors and CV of daily average

⁴ FOOTNOTE: > 100 for fluxes less than 5g N₂O-N ha⁻¹ d⁻¹

				Rigorously derived uncertainty <u>Cons</u> Not suited at farm scale Large high precision observation network required	
Carbon balance	Soil carbon point measurements	0.01ha-1ha >5 y	5-20%	<u>Pros</u> Easy protocol Well established analytics <u>Cons</u> Need high number of samples for upscaling Detection limit is high	(Chiti et al. 2018; Castaldi et al. 2018; Chen et al. 2018; Deng et al. 2018)
	Biomass measurements	0.01ha – 1ha 1-5 y	2-8%	<u>Pros</u> Well established allometric equations High accuracy at plot level <u>Cons</u> Difficult to scale up Labour intensive	(Pelletier et al. 2012; Henry et al. 2015; Vanguelova et al. 2016; Djomo et al. 2016; Forrester et al. 2017; Xu et al. 2017; Marziliano et al. 2017; Clark et al. 2017; Disney et al. 2018; Urbazaev et al. 2018; Paul et al. 2018)
Water balance	Soil moisture (IoT sensors, Cosmic rays, Thermo-optical sensing etc.)	0.01ha – regional 0.5hr- <1y	3-5% vol	<u>Pros</u> New technology Big data analytics Relatively inexpensive <u>Cons</u> Scaling problems	(Yu et al. 2013; Zhang and Zhou 2016; Iwata et al. 2017; McJannet et al. 2017; Karthikeyan et al. 2017; Iwata et al. 2017; Cao et al. 2018; Amaral et al. 2018; Moradizadeh and Saradjian 2018; Strati et al. 2018)
	Evapotranspiration	0.01ha – Regional 0.5hr- >10y	10-20%	<u>Pros</u> Well established methods Easy integration in models and DSS <u>Cons</u> Partition of fluxes need additional measurements	(Zhang et al. 2017; Papadimitriou et al. 2017; Kaushal et al. 2017; Valayamkunnath et al. 2018; Valayamkunnath et al. 2018; Tie et al. 2018; Wang et al. 2018)
Soil Erosion	Sediment transport	1 ha – Regional 1d - >10y	-21-34%	<u>Pros</u> Long history of methods	(Efthimiou 2018; García-Barrón et al.

				Integrative tools <u>Cons</u> Validation is lacking Labour intensive	2018; Fiener et al. 2018)
Land cover	Satellite	0.01ha – Regional 1d - >10y	16 - 100%	<u>Pros</u> Increasing platforms available Consolidated algorithms <u>Cons</u> Need validation Lack of common Land Use definitions	(Olofsson et al. 2014; Liu et al. 2018; Yang et al. 2018)

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1 **Table S2 Possible uncertainties decision making faces (following** (Hansson and Hadorn 2016)

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Type	Knowledge gaps	Understanding the uncertainties
Uncertainty of consequences	Do the model(s) adequately represent the target system? What are the numerical values of input parameters, boundary conditions, or initial conditions? What are all potential events that we would take into account if we were aware of them? Will future events relevant for our decisions, including expected impacts from these decisions, in fact take place?	Ensemble approaches; downscaling Benchmarking, sensitivity analyses Scenario approaches
Moral uncertainty	How to (ethically) evaluate the decisions? What values to base the decision on (→ often unreliable ranking of values not doing justice to the range of values at stake, cp. Sen 1992), including choice of discount rate, risk attitude (risk aversion, risk neutral, ...) Which ethical principles? (i.e. utilitarian, deontic, virtue, or other?)	Possibly scenario analysis Identification of lock-in effects and path-dependency (e.g. Kinsley et al 2016)
Uncertainty of demarcation	What are the options that we can actually choose between? (not fully known because “decision costs” may be high, or certain options are not “seen” as they are outside current ideologies). How can the mass of decisions divided into individual decisions? e.g. how this influences international negotiations and the question who does what and when (cp. Hammond et al. 1999).	Possibly scenario analysis
Uncertainty of consequences & uncertainty of demarcation	What effects does a decision have when combined with the decision of others? (e.g. other countries may follow the inspiring example in climate reduction of country X, or they use it solely in their own economic interest)	Games
Uncertainty of demarcation & moral uncertainty	How would we decide in the future? (Spohn 1977; Rabinowicz 2002)	

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5 **References S1**

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