

Awz Privacy Policy

Last Update: Sept. 7, 2022

Awz Ventures Inc. and its affiliates (“Awz”, “we”, or “us”) value and respect your privacy. We have prepared this privacy policy to explain the manner in which we collect, use and disclose personal information (defined below) through our website located at <https://www.awzventures.com/> (the “Website”), our online portal located at <https://awzventures.altareturn.com/> (“Service”), and through other face-to-face meetings, telephone conversations and electronic or virtual communications with you, and when you otherwise interact with us.

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COLLECTION AND USE OF PERSONAL INFORMATION

In connection with private placements of interests in investment vehicles controlled or managed by Awz, Awz may collect identifiable information (“**personal information**”) about its investors and prospective investors.

Business Operations: If you enter into a subscription agreement with Awz in respect of a subscription for an interest in an investment vehicle controlled or managed by Awz, we may collect personal information such as your first and last name, residential address, telephone number, email address, tax identification number, subscription amount, investor status and information about your income, financial information required to make an investment, and other “know your client” information for identity verification purposes required under applicable laws including the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act* (Canada), regulations and policies made thereunder and other statutes and regulations that take legislative measures against money laundering, terrorist financing and against financial dealings with certain sanctioned individuals and entities . This information will be used by Awz for the following purposes:

- processing the investment, opening and servicing client accounts;
- verifying identity and other information provided to Awz (including information about your bank account);
- if applicable, reporting the investment to the relevant securities regulatory authority;

- completing applicable books and records information in connection with the investment;
- detecting and preventing fraud and other unauthorized or illegal activities; and
- meeting other legal and regulatory requirements.

Awz may also collect information about the directors, officers, managers and other relevant individuals involved in Awz investments in the course of conducting due diligence regarding negotiating and closing such Awz investments. If you provide us with personal information about any other individual (such as your directors, officers, managers or other relevant individuals), you confirm that you have obtained the consent of such individual(s) prior to providing any personal information to us.

Create an Account: If you register or create an account to use the Service, we collect your email address and a password you choose in order to create and administer an online account for you and provide you with access to our online portal. We strongly recommend that you do not disclose your password to anyone. We will never ask you for your password in any unsolicited communication (such as letters, phone calls or email messages). If you become aware of any unauthorized access to or use of your account, you are required to notify us immediately.

Marketing Communications: If you sign up to receive email marketing communications, we will collect your name and email address in order to send you newsletters and other information about Awz that may be of interest to you. You can unsubscribe at any time by clicking the “unsubscribe” link included at the bottom of each email. Alternatively, you can opt-out of receiving email marketing communications by contacting us at the contact information provided in the “Contact Us” section below. Please note that you may continue to receive transactional or account-related communications from us.

Events: If you attend one of our events, we may collect business contact information such as your first and last name, company name, work email address and phone number or other personal information, including your government-issued identification where required by the venue. We collect this information so that we can reserve your spot for the event, organize and communicate with you about the event and contact you about future events.

Investor Calls: We may record investor calls that are held virtually with clients (or other individuals) for informational purposes. You will be provided with a notice at the beginning of any call that is being recorded. If you do not wish to have your call recorded, please let us know.

Surveys and Customer Research: From time to time, we may offer clients or other individuals the opportunity to participate in one of our surveys or other client research. The information obtained through our surveys and research is used in an aggregated, non-personally identifiable form to help us understand our clients and to enhance our online portal or product and service offerings.

Careers: In connection with a job application or related inquiry, you may provide us with certain personal information about yourself (such as that contained in a resume, cover letter, or similar employment-related materials). We use this information for the purpose of processing and responding to your application for current and future career opportunities.

Contact Us: When you contact us with a comment, question or complaint, you may be asked for information that identifies you, such as your name and email address, along with additional information we need to help us promptly answer your question or respond to your comment. We may retain this information to assist you in the future and to improve our client service, online portal and product and service offerings.

DISCLOSURE OF PERSONAL INFORMATION

We do not sell, disclose, trade, rent, transfer or otherwise disclose your personal information, without your consent, except as set forth below or as required or permitted by law.

Securities Laws: Investors' personal information, including the number of and purchase price for securities purchased, may be disclosed to the relevant Canadian securities regulatory authorities and become available to the public in accordance with the requirements of applicable securities and freedom of information laws. Each investor consents to and authorizes such indirect collection of the disclosure of personal information and acknowledges that: (i) the personal information is being collected indirectly by the applicable Canadian securities regulatory authority under the authority granted to it in securities legislation; (ii) the personal information is being collected for the purposes of the administration and enforcement of applicable Canadian securities legislation; and (iii) investors have been notified of the title, business address and business telephone number of the public official in their jurisdiction in the subscription agreement.

Service Providers: Your personal information may be transferred (or otherwise made available) to certain third parties in Canada, the U.S., Israel or other jurisdictions that provide services on our behalf. We use service providers to provide services such as verifying personal information (such as identity and bank account number) and other know-your-client information provided by clients, administering the Awz investments, hosting the Website, processing payments, organizing and booking events, sending email or other communications. In the event personal information is transferred to the US or other foreign jurisdiction, it will be subject to the laws of that jurisdiction. Our service providers are only provided with the information they need to perform their designated functions and are not authorized to use or disclose personal information for their own marketing or other purposes.

Legal and Compliance: We and our Canadian, U.S., Israeli, and other foreign service providers may provide your personal information in response to a search warrant to other legally valid inquiry or order, or to another organization for the purposes of investigating a breach of an agreement or contravention of law or detecting, suppressing or preventing fraud, or as otherwise may be required or permitted by applicable Canadian, U.S. , Israeli, or other law or legal process, which may include lawful access by U.S. , Israeli, or foreign courts, law enforcement or other government authorities. Your personal information may also be disclosed where necessary for the establishment, exercise or defence of legal claims and to investigate or prevent actual or suspected loss or harm to persons or property.

Sale of Business: We may transfer any information we have about you as an asset in connection with a proposed or completed merger, acquisition or sale (including transfers made as part of insolvency or bankruptcy proceedings) involving all or part of Awz or as part of a corporate reorganization or other change in corporate control.

INFORMATION ABOUT OUR WEBSITE

Visiting our Website: In general, you can visit our Website without telling us who you are or submitting any personal information. However, we and/or our service providers collect the IP (Internet protocol) addresses of all visitors to our Website and other related information such as page requests, browser type, operating system and average time spent on our Website. We use this information to help us understand our Website activity and to monitor and improve our Website.

Cookies: Our Website uses a technology called "cookies". A cookie is a tiny element of data that our Website sends to a user's browser, which may then be stored on the user's hard drive so that we can recognize the user's computer or device when they return. You may set your browser to notify you when you receive a cookie or to not accept certain cookies. However, if you decide not to accept cookies from our Website, you may not be able to take advantage of all of the Website features.

Analytics: We may use third parties such as Google Analytics to help us gather and analyze information about the areas visited on the Website (such as the pages most read, time spent, search terms and other engagement data) in order to evaluate and improve the user experience and the Website. These third parties may use cookies and other tracking technologies. For more information about Google Analytics or to prevent the storage and processing of this data (including your IP address) by Google, you can download and install the browser plug-in available at the following link: <https://tools.google.com/dlpage/gaoptout?hl=en>. You can also obtain additional information on Google Analytics' data privacy and security at the following links:

- <https://policies.google.com/technologies/partner-sites> and
- <https://support.google.com/analytics/topic/2919631>

Third-Party Links: Our Website may contain links to other websites that Awz does not own or operate. We provide links to third-party websites as a convenience to the user. These links are not intended as an endorsement of or referral to the linked websites. The linked websites have separate and independent privacy policies, notices and terms of use. We do not have any control over such websites, and therefore we have no responsibility or liability for the manner in which the organizations that operate such linked websites may collect, use or disclose, secure and otherwise treat personal information. We encourage you to read the privacy policy of every website you visit.

SAFEGUARDS AND RETENTION

We have implemented reasonable administrative, technical and physical measures in an effort to safeguard the personal information in our custody and control against theft, loss and unauthorized access, use, modification and disclosure. We restrict access to personal information on a need-to-know basis to employees and authorized service providers who require access to fulfil their job requirements.

We have personal information record retention processes designed to retain personal information for no longer than necessary for the purposes of providing services to our customers or, with respect to the Website, for the purposes set out in this privacy policy, or to otherwise meet legal requirements.

We may also retain aggregate information beyond this time for research purposes and to help us develop and improve our Services. You cannot be identified from aggregate information retained or used for these purposes.

YOUR CHOICES

As indicated above, if you have signed-up to receive our email communications, you can unsubscribe any time by clicking the “unsubscribe” link included at the bottom of the email. Alternatively, you can opt-out of receiving our marketing communications by contacting us at the contact information under “Contact Us” below. To opt-out or modify communication preferences on the Service, access the self service “Communications Grid” and modify notification settings for each type of communication.

ACCESS TO INFORMATION

Subject to applicable law, you have the right to access, update and correct inaccuracies in your personal information in our custody or control. You may request access, updating and corrections of inaccuracies in your personal information in our custody or control by emailing or writing to us at the contact information set out below. We may request certain personal information for the purpose of verifying the identity of the individual seeking access to his or her personal information records.

UPDATES TO THE PRIVACY POLICY

We may update this privacy policy periodically to reflect changes to our privacy practices. We encourage you to periodically review this page to ensure you are familiar with those changes. We will indicate at the top of this privacy policy when it was most recently updated.

CONTACT US

If you have any questions or comments about this privacy policy or the manner in which we or our service providers (including our service providers outside Canada) treat your personal information, or to request access to our collection of your personal information, please contact us at:

Attention: Privacy Officer
+1-416-638-2299
info@awzventures.com