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On the Squarefree Problem II

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Presented by V. Popov

One of the well-known problems in the analytical theory of numbers is the squarefree problem. We say that the natural number n is squarefree if n is a product of different prime numbers. The problem is to find $h=h(x)$, as small as possible, such that there is a squarefree number in the interval $(x, x+h]$ for sufficiently large x .

First, E. Fogels [1] proved, that, one can take $h=x^{\theta+\varepsilon}$, where $\theta=2/5$ and ε -arbitrary is a positive number. An important step was made by K. F. Roth [2]. He proved, using elementary methods that we can take $h=x^{\theta+\varepsilon}$, $\theta=1/4$ and with the help of the method of the trigonometric sums he improved the value of the constant $\theta=3/13$. (We say that some methods or some proofs are elementary if they do not use estimates of trigonometric sums.)

Using the method of exponent pairs H. E. Richert [3], R. A. Rankin [4], P. G. Schmidt [5], S. W. Graham and G. Kolesnik [6] improved the estimate for θ , $\theta=2/9=0.2222\dots$, $\theta=0.221982\dots$, $\theta=109556/494419=0.221585\dots$, $\theta=1057/4785=0.208896\dots$, respectively.

M. Filaseta [7] gave an elementary proof of the Roth's result ($\theta=3/13$).

In this paper we give an elementary proof of the result of Richert ($\theta=2/9$).

Moreover, we give further improvement for the constant θ , $\theta=17/77=0.2207792\dots$

(This result is announced without proof by the author in [11].)

We prove:

Theorem 1. *There is a constant c_1 such that for sufficiently large x there is a squarefree number in the interval $(x, x+c_1 \cdot x^{2/9}]$.*

(The proof of Theorem 1 is elementary.)

Theorem 2. *There is a constant c_2 such that for sufficiently large x there is a squarefree number in the interval $(x, x+c_2 \cdot x^{17/77}]$.*

Proof of the Theorems. Let S is the number of the integer numbers in the interval $(x, x+h]$ which are not squarefree. Our purpose is to prove that $S < h-1$. Denote $h=x^\theta$.

It is evident that

$$S \leq \sum_{p\text{-prime}} \left(\left[\frac{x+h}{p^2} \right] - \left[\frac{x}{p^2} \right] \right)$$

and $S \leq S_1 + S_2$, where

$$S_1 = \sum_{p \leq x^\theta \sqrt{\log x}} \left(\left[\frac{x+h}{p^2} \right] - \left[\frac{x}{p^2} \right] \right);$$

$$S_2 = \sum_{p > x^\theta \sqrt{\log x}} \left(\left[\frac{x+h}{p^2} \right] - \left[\frac{x}{p^2} \right] \right);$$

$$S_1 \leq \sum_{p \leq x^\theta \sqrt{\log x}} (h/p^2 + 1) \leq x^\theta \cdot \sum_{n=2}^{\infty} \frac{1}{n^2} + \pi(x^\theta \sqrt{\log x}) < 2/3 \cdot x^\theta \text{ for}$$

sufficiently large x .

Let estimate (1)

$$S_2 \leq S'_2 = \sum_{x^\theta \sqrt{\log x} \leq n \leq x^{1/2}} \left(\left[\frac{x+h}{n^2} \right] - \left[\frac{x}{n^2} \right] \right).$$

$n > x^\theta$, hence $\left[\frac{x+h}{n^2} \right] - \left[\frac{x}{n^2} \right] = 0$ or 1, and

$$(2) \quad \left[\frac{x+h}{n^2} \right] - \left[\frac{x}{n^2} \right] = 1 \text{ if and only if there exist } k \in \mathbb{Z} \text{ such that } kn^2 \in (x, x+h]$$

and this is equivalent to $\left\{ \frac{x}{n^2} \right\} \in (1-h/n^2, 1)$.

Define

$$(3) \quad S(A, B) = \{n \in (A, B] \cap \mathbb{N} \mid \exists k : kn^2 \in (x, x+h]\}.$$

Then $S_2 = |S(x^\theta \sqrt{\log x}, x^{1/2})|$.

Lemma 1. *Let a, b, c, d, e are real numbers and $b > 0, d > 0$. Then:*

- (i) *If (4) $|S(x^\varphi, 2 \cdot x^\varphi)| \ll x^{a-b \cdot \varphi}$ for every $\varphi \in [u_1, v_1]$
then $|S(x^{u_1}, x^{v_1})| \ll x^{a-b \cdot u_1}$;*
- (ii) *If (5) $|S(x^\varphi, 2 \cdot x^\varphi)| \ll x^{c+d \cdot \varphi}$ for every $\varphi \in [u_2, v_2]$
then $|S(x^{u_2}, x^{v_2})| \ll x^{c+d \cdot v_2}$;*
- (iii) *If (6) $|S(x^\varphi, 2 \cdot x^\varphi)| \ll x^e$ for every $\varphi \in [u_3, v_3]$
then $|S(x^{u_1}, x^{v_1})| \ll x^e \cdot \sqrt{\log x}$.*

Proof of Lemma 1. We divide the interval (x^{u_i}, x^{v_i}) to shorter intervals of the type $[A, 2 \cdot A]$ and for every short interval we use the corresponding estimate (4), (5) or (6). \square

Lemma 2 (Roth). Let $\varphi \in (0, 1)$. Then $|S(x^\varphi, 2 \cdot x^\varphi)| \ll x^{\frac{1-\varphi}{3}}$.

Lemma 2 is proved first by Roth [2]. We give a new proof which contains new ideas useful for our later purposes.

Proof of Lemma 2. Let $u, u+l_1, u+l_2$ are three different elements of the set $S(x^\varphi, 2x^\varphi)$. ($0 < l_1 < l_2$).

Then, there exist integer numbers k_0, k_1, k_2 such that

$$(6) \quad k_i \cdot (u+l_i)^2 \in (x, x+h], \quad i=0, 1, 2 \quad (l_0=0).$$

Consider the polynomials P_0, P_1, P_2 of three variables u, l_1, l_2 and depending of the parameters A_1, A_2, A_3 .

$$P_0(u, \bar{l}, \bar{A}) = -2(A_1 + A_3) \cdot u + (A_1 + 3A_2) \cdot l_1 + (-3A_2 + A_3) \cdot l_2;$$

$$P_1(u, \bar{l}, \bar{A}) = 2A_1 \cdot u + 3A_1 \cdot l_1 + 3A_2 \cdot l_2;$$

$$P_2(u, \bar{l}, \bar{A}) = 2A_3 \cdot u - 3A_2 \cdot l_1 + 3A_3 \cdot l_2.$$

Consider the identity

$$\frac{P_0}{u^2} + \frac{P_1}{(u+l_1)^2} + \frac{P_2}{(u+l_2)^2} = \frac{R}{u^2(u+l_1)^2(u+l_2)^2},$$

where

$$R(u, \bar{l}, \bar{A}) = u^2 \cdot (A_1 l_1^3 + 9A_2 l_1 l_2 \cdot (l_1 - l_2) + A_3 l_2^3)$$

$$+ u l_1 l_2 \cdot ((2A_1 + 6A_2) l_1 + (-6A_2 + 2A_3) l_2) + l_1^2 l_2^2 \cdot ((A_1 + 3A_2) \cdot l_1 + (-3A_2 + A_3) \cdot l_2).$$

It is evident that if $u, l_1, l_2, l_3, A_1, A_2, A_3$ are integers then P_0, P_1, P_2 and R are also integer numbers.

We have $P_i \ll u, i=0, 1, 2$ and $R \ll l_2^3 \cdot u^2$.

From (6) follows

$$(7) \quad (k_0 P_0 + k_1 P_1 + k_2 P_2) = \frac{x \cdot R}{u^2(u+l_1)^2(u+l_2)^2} + O\left(\frac{h}{u}\right).$$

Let assume that for every choice of the integer parameters A_1, A_2, A_3 $k_0 P_0 + k_1 P_1 + k_2 P_2 = 0$.

Then for the concrete choices

$$(A_1, A_2, A_3) = (1, 0, 0); \quad (A_1, A_2, A_3) = (0, 1, 0); \quad (A_1, A_2, A_3) = (0, 0, 1)$$

we obtain the identities

$$(8) \quad \begin{cases} k_0 P_0^{(1)} + k_1 P_1^{(1)} + k_2 P_2^{(1)} = 0 \\ k_0 P_0^{(2)} + k_1 P_1^{(2)} + k_2 P_2^{(2)} = 0 \\ k_0 P_0^{(3)} + k_1 P_1^{(3)} + k_2 P_2^{(3)} = 0. \end{cases}$$

We can consider (8) like a system of linear equations. The determinant is

$$\begin{vmatrix} P_0^{(1)} & P_1^{(1)} & P_2^{(1)} \\ P_0^{(2)} & P_1^{(2)} & P_2^{(2)} \\ P_0^{(3)} & P_1^{(3)} & P_2^{(3)} \end{vmatrix} = 36 \cdot l_1 \cdot l_2 \cdot (l_2 - l_1) \neq 0.$$

Then from (8) $k_0 = k_1 = k_2 = 0$ follows and this is a contradiction. So, our assumption is wrong and there exist (A_1, A_2, A_3) , $A_i = O(1)$ such that $k_0 P_0 + k_1 P_1 + k_2 P_2 \neq 0$.

$$|k_0 P_0 + k_1 P_1 + k_2 P_2| \geq 1, \quad (k_i \text{ and } P_i \text{ are integers}).$$

Then

$$\left| \frac{x \cdot R}{u^2(u+l_1)^2(u+l_2)^2} + O\left(\frac{h}{u}\right) \right| \geq 1.$$

But $O\left(\frac{h}{u}\right) = O(1)$ and for sufficiently large x $|R| \gg x^{6\varphi-1} (u \in [x^\varphi, 2x^\varphi])$.

$$\text{Then } u^2 \cdot l_2^3 \gg |R| \gg x^{6\varphi}, \quad l_2 \gg x^{\frac{4\varphi-1}{3}}.$$

We proved that if we take $\frac{3}{4\varphi-1}$ different elements of $S(x^\varphi, 2x^\varphi) : u, u+l_1, u+l_2 (0 < l_1 < l_2)$, then $l_2 \gg x^{\frac{4\varphi-1}{3}}$. Evidently this implies $|S(x^\varphi, 2x^\varphi)|$

$$\ll \frac{x^\varphi}{x^{\frac{4\varphi-1}{3}}} \cdot 2 \sim x^{\frac{1-\varphi}{3}}. \quad \square$$

Lemma 3. Let $0 < \theta < 0.23$ and $\theta < \varphi \leq 0.38$. Then $|S(x^\varphi, 2x^\varphi)| \ll x^{\frac{1+\varphi}{\sigma}}$.

This lemma and the method of the proof are the essential part of the paper.

Proof of Lemma 3. Let $f(z) = \frac{x}{z^2}$ and $u, u+l_1, u+l_2$ are three different elements of the set $S(x^\varphi, 2x^\varphi)$. ($0 < l_1 < l_2$).

Let $a = \min(l_1, l_2 - l_1)$ and $b = \max(l_1, l_2 - l_1)$.

Consider the divided difference

$$(9) \quad f[u, u+l_1, u+l_2] = \frac{f(u) \cdot (l_2 - l_1) - f(u+l_1) \cdot l_2 + f(u+l_2) \cdot l_1}{l_1 \cdot l_2 \cdot (l_2 - l_1)}$$

$$= \frac{f''(\xi)}{2!} \sim x^{1-4\varphi}, \quad \xi \in [u, u+l_2].$$

$(f''(\xi))$ is between $\frac{3}{16}x^{1-4\varphi}$ and $3x^{1-4\varphi}$.

Denote (10) $A = f(u) \cdot (l_2 - l_1) - f(u+l_1) \cdot l_2 + f(u+l_2) \cdot l_1$

$$A = \frac{1}{2} \cdot f''(\xi) \cdot l_1 \cdot l_2 \cdot (l_2 - l_1) > 0.$$

Let assume that $A < 1$. Then $A = \{A\}$ ($0 < A < 1$). But $\{f(u+l_i)\} \in (1 - x^{\theta-2\varphi}, 1)$ for $i=0, 1, 2$ ($l_0=0$) because $u+l_i \in S(x^\varphi, 2x^\varphi)$ (see (2)).

Hence $f(u+l_i) = N_i - \varepsilon_i$, $N_i \in \mathbb{Z}$, $\varepsilon_i \in (0, x^{\theta-2\varphi})$, $i=0, 1, 2$. From (9) follows that $\{A\} \leq 2 \cdot l_2 \cdot x^{\theta-2\varphi}$. But $\{A\} = A \sim l_1 \cdot l_2 \cdot (l_2 - l_1) \cdot x^{1-4\varphi}$ ((9), (10)) and then

$$l_1 \cdot l_2 \cdot (l_2 - l_1) \cdot x^{1-4\varphi} \ll l_2 \cdot x^{\theta-2\varphi}, \quad 1 \leq l_1 \cdot (l_2 - l_1) \ll x^{\theta+2\varphi-1}.$$

But $\theta + 2\varphi \leq 0.23 + 2 \cdot 0.38 = 0.99$ and for sufficiently large x our assumption leads to contradiction.

We proved that if x is sufficiently large $A \geq 1$.

$$l_1 \cdot l_2 \cdot (l_2 - l_1) \cdot x^{1-4\varphi} \gg 1$$

$$(11) \quad a \cdot b^2 \gg x^{4\varphi-1}.$$

The next step is to take four elements of the set $S(x^\varphi, 2x^\varphi) - u, u+l_1, u+l_2, u+l_3$ ($0 < l_1 < l_2 < l_3$).

Let $a = \min(l_1, l_2 - l_1, l_3 - l_2)$;

$c = \max(l_1, l_2 - l_1, l_3 - l_2)$;

$b = l_3 - a - c$.

We consider the case when $c = l_1$ or $c = l_3 - l_2$. (In other words, the case when the maximal distance is not in the middle.)

Consider the divided difference

$$-f[u, u+l_1, u+l_2, u+l_3] = A/P = -\frac{f^{(3)}(\xi)}{3!} \sim x^{1-5\varphi}, \quad \xi \in [u, u+l_3],$$

where

$$A = -f(u)(l_2 - l_1)(l_3 - l_2)(l_3 - l_1) + f(u+l_1)(l_3 - l_2) \cdot l_2 \cdot l_3$$

$$-f(u+l_2)(l_3 - l_1) \cdot l_1 \cdot l_3 + f(u+l_3)(l_2 - l_1) \cdot l_1 \cdot l_2,$$

$$(12) \quad P = l_1 \cdot l_2 \cdot l_3 \cdot (l_2 - l_1) \cdot (l_3 - l_2) \cdot (l_3 - l_1) > 0,$$

$$A = P \cdot \frac{f^{(3)}(\xi)}{3!} > 0.$$

Let assume that $A < 1$. Then $A = \{A\}$ ($0 < A < 1$) and $\{f(u + l_i)\} \in (1 - x^{\theta - 2\varphi}, 1)$ $i = 0, 1, 2$, ($l_0 = 0$) that is to say $f(u + l_i) = N_i - \varepsilon_i$, $N_i \in \mathbb{Z}$, $\varepsilon_i \in (0, x^{\theta - 2\varphi})$, $i = 0, 1, 2$.

From (12) we derive $\{A\} \leq 12 \cdot c^3 \cdot x^{\theta - 2\varphi}$.

But $\{A\} = A \sim P \cdot x^{1 - 5\varphi}$ and $P \sim a \cdot b^2 \cdot c^3$. Hence $a \cdot b^2 \cdot c^3 \cdot x^{1 - 5\varphi} \sim A = \{A\} \ll c^3 \cdot x^{\theta - 2\varphi}$, $a \cdot b^2 \ll x^{\theta + 3\varphi - 1}$.

Since a and b are the distances between three different elements of $S(x^\varphi, 2x^\varphi)$ ($c = l_1$ or $c = l_3 - l_2$) from (11) there follows $a \cdot b^2 \gg x^{4\varphi - 1}$.

We obtain $x^{4\varphi - 1} \ll x^{\theta + 3\varphi - 1}$, $x^\varphi \ll x^\theta$. This is a contradiction if x is sufficiently large.

We proved that for sufficiently large x $A \geq 1$. This implies $P \cdot x^{1 - 5\varphi} \gg 1$, $a \cdot b^2 \cdot c^3 \gg x^{5\varphi - 1}$ and in particular

$$(13) \quad c \gg x^{\frac{5\varphi - 1}{6}} \quad (c \geq a, c \geq b).$$

Let $x^\varphi \leq u_1 < u_2 < \dots < u_{5m+s} \leq 2x^\varphi$, ($s = 0, 1, 2$ or 4) are all the elements of the set $S(x^\varphi, 2x^\varphi)$.

We divide them into groups containing five elements each one.

$$\begin{aligned} &u_1, u_2, u_3, u_4, u_5; \\ &u_6, u_7, u_8, u_9, u_{10}; \\ &\dots \\ &u_{5m-4}, \dots, u_{5m} \end{aligned}$$

and the last group $u_{5m+1}, \dots, u_{5m+s}$.

Consider $u_{5k+1}, u_{2k+2}, u_{5k+3}, u_{5k+4}, u_{5k+5}$ ($0 \leq k \leq m-1$).

Let $c = \max_{i=1,2,3,4} (u_{5k+i+1} - u_{5k+i})$. If $c = u_{5k+2} - u_{5k+1}$ we use (13) for the elements

$$u_{5k+1}, u_{5k+2}, u_{5k+3}, u_{5k+4}$$

if $c = u_{5k+3} - u_{5k+2}$ for $u_{5k+2}, u_{5k+3}, u_{5k+4}, u_{5k+5}$, if $c = u_{5k+4} - u_{5k+3}$ for $u_{5k+1}, u_{5k+2}, u_{5k+3}, u_{5k+4}$, and if $c = u_{5k+5} - u_{5k+4}$ for $u_{5k+2}, u_{5k+3}, u_{5k+4}, u_{5k+5}$.

In all cases we obtain $c \gg x^{\frac{5\varphi - 1}{6}}$ and $u_{5k+5} - u_{5k+1} \geq c \gg x^{\frac{5\varphi - 1}{6}}$.

$$\begin{aligned} &\text{But } x^\varphi \geq u_{5m} - u_1 \geq \sum_{i=0}^{m-1} (u_{5i+5} - u_{5i+1}) \gg m \cdot x^{\frac{5\varphi - 1}{6}} \text{ and } m \ll x^{\frac{1 + \varphi}{6}} \cdot |S(x^\varphi, 2x^\varphi)| \\ &= 5m + s \ll 5 \cdot x^{\frac{1 + \varphi}{6}} + 4 \ll x^{\frac{1 + \varphi}{6}}. \quad \square \end{aligned}$$

Proof of Theorem 1. We choose $\theta=2/9$. From Lemma 1 and Lemma 3 there follows that

$$|S(x^{2/9}\sqrt{\log x}, x^{1/3})| \ll x^{\frac{1+1/3}{6}} = x^{2/9}, \quad (1/3 < 0.38).$$

From Lemma 1 and Lemma 2 we obtain

$$|S(x^{1/3}, x^{1/2})| \ll x^{\frac{1-1/3}{3}} = x^{2/9}.$$

$$S_2 = |S(x^{2/9}\sqrt{\log x}, x^{1/2})| \ll x^{2/9}. \quad \blacksquare$$

Lemma 4. Let $0.32 \leq \varphi \leq 0.34$ and $0 < \theta < 0.23$. Then $|S(x^\varphi, 2x^\varphi)| \ll x^{\frac{11-6\varphi}{41}}$.
First we need to prove Lemma 5.

Lemma 5. Let f is a real function defined in $[a, b]$, $0 < \delta < 1$ and $N(\delta, f)$ is the number of the integers in the interval $(a, b]$ ($b-a > 1$) such that $\{f(n)\} \in (1-\delta, 1)$.
Then

$$|N(\delta, f) - \delta \cdot (b-a)| \ll \sum_{k=1}^N \frac{|S_k|}{k} + \frac{b-a}{N} + O(1),$$

where

$$S_k = \sum_{a < x \leq b} e^{2\pi i k f(x)}.$$

If $\delta = 0\left(\frac{1}{N}\right)$ then $|N(\delta, f) - \delta \cdot (b-a)| \ll \sum_{k=1}^N \frac{|S_k|}{N} + \frac{b-a}{N} + O(1)$. (A result very near to Lemma 5 is proved in the works of Stečkin but Lemma 5 is more suitable for our purposes.)

Proof of Lemma 5. Define

$$t(x) = \begin{cases} 0 & \text{if } 0 \leq \{x\} \leq 1 - \delta \\ 1 & \text{if } 1 - \delta < \{x\} < 1. \end{cases}$$

Let $T_N(x)$ and $t_N(x)$ are the trigonometrical polynomials of degree N of best on-sided approximation for the function $t(x)$ in L_1 norm.

$$t_N(x) \leq t(x) \leq T_N(x).$$

Let

$$t_N(x) = a_0 + \sum_{k=-N}^N a_k \cdot e^{2\pi i k x},$$

$$T_N(x) = A_0 + \sum_{k=-N}^N A_k \cdot e^{2\pi i k x}.$$

From the well-known theorem about the on-sided approximation (see T. Ganelius [8])

$$\|t - t_N\|_{L_1[0,1]} \leq c \cdot \frac{\text{Var } t}{N} \ll \frac{1}{N},$$

$$\|t - T_N\|_{L_1[0,1]} \leq c \cdot \frac{\text{Var } t}{N} \ll \frac{1}{N}$$

$$N(\delta, f) = \sum_{a < x < b} t(f(x))$$

are valid.

Hence

$$(b-a-1)a_0 + \sum_{k=-N}^N a_k S_k \leq N(\delta, f) \leq (b-a+1)A_0 + \sum_{k=-N}^N A_k S_k.$$

But

$$\begin{aligned} A_0 &= \int_0^1 T_N(x) dx = \int_0^1 (T_N(x) - t(x)) dx + \int_0^1 t(x) dx \\ &= \int_0^1 (T_N(x) - t(x)) dx + \delta \end{aligned}$$

$$|A_0 - \delta| \leq \|T_N - t\|_{L_1} \ll \frac{1}{N}$$

$$\begin{aligned} A_k &= \int_0^1 T_N(x) e^{-2\pi i k x} dx = \int_0^1 (T_N(x) - t(x)) e^{-2\pi i k x} dx \\ &\quad + \int_0^1 t(x) e^{-2\pi i k x} dx. \end{aligned}$$

But

$$\left| \int_0^1 (T_N(x) - t(x)) e^{-2\pi i k x} dx \right| \leq \|T_N - t\|_{L_1} \ll \frac{1}{N}$$

$$\int_0^1 t(x) e^{-2\pi i k x} dx = \int_{1-\delta}^1 e^{-2\pi i k x} dx = \frac{1 - e^{-2\pi i k(1-\delta)}}{-2\pi i k} \ll \frac{1}{k}.$$

It is evident that $\int_{1-\delta}^1 e^{-2\pi i k x} dx \ll \delta \ll \frac{1}{N}$ when $\delta = O\left(\frac{1}{N}\right)$ and $|S_k| = |S_{-k}|$.

Hence

$$N(\delta, f) - (b-a)\delta \leq c \cdot \left(\frac{b-a}{N} + \sum_{k=1}^N \frac{|S_k|}{k} + 1 \right).$$

In the same way one can prove that

$$N(\delta, f) - (b-a)\delta \geq c_1 \cdot \left(\frac{b-a}{N} + \sum_{k=1}^N \frac{|S_k|}{k} + 1 \right). \quad \square$$

Proof of Lemma 4. We use the fact that if $u \in S(x^\varphi, 2x^\varphi)$ then $\left\{ \frac{x}{u^2} \right\} \in (1 - x^{\theta-2\varphi}, 1)$ (see (2)). Now we use Lemma 5 with $f(u) = \frac{x}{u^2}$, $\delta = x^{\theta-2\varphi}$, $a = x^\varphi$, $b = 2x^\varphi$. We obtain

$$|S(x^\varphi, 2x^\varphi)| \ll x^{\theta-2\varphi} x^\varphi + \sum_{k=1}^N \frac{|S_k|}{N} + \frac{x^\varphi}{N}$$

(we will choose N later, but smaller than $x^{0.13}$) and

$$S_k = \sum_{u=x^\varphi}^{2x^\varphi} e^{2\pi i k x / u^2}.$$

First we consider the case $1 \leq k < x^{3\varphi-1}$.

Lemma 6 (I. M. Vinogradov [9]). Let $f \in C^2(a, b)$ and $f''(x) \sim 1/A$ in (a, b) . Then

$$\left| \sum_{a < x < b} e^{2\pi i f(x)} \right| \ll \frac{b-a}{\sqrt{A}} + \sqrt{A}.$$

In our case $f(u) = kx/u^2$, $f''(x) \sim kx/u^4 \sim kx^{1-4\varphi}$, $A = x^{4\varphi-1}/k > x^\varphi$ ($x^{3\varphi-1} > k$). Hence

$$|S_k| \ll \frac{x^\varphi}{x^{\varphi/2}} + \frac{x^{2\varphi-1/2}}{\sqrt{k}}$$

$$\sum_{1 \leq k \leq x^{3\varphi-1}} \frac{|S_k|}{N} \ll x^{\varphi/2} \log x + \frac{x^{2\varphi-1/2}}{\sqrt{N}} \ll x^{0.18}.$$

So we consider k greater than $x^{3\varphi-1}$.

Now we reduce the sums S_k to a "shorter" sums.

Theorem 3. Let $f \in C^3(a, b)$, $b-a > 0$, $f''(x) \sim 1/A$, $f^{(3)}(x) \ll 1/(A \cdot U)$ for every $x \in [a, b]$.

Let x_n are the solutions of the equations $f'(x_n) = n$, ($x_n \in [a, b]$). Finally, let the functions

$$\frac{1}{f'(x+x_n)-n} - \frac{1}{\sqrt{2(f(x+x_n)-f(x_n)-nx) \cdot f''(x_n)}}$$

have finite number of parts of monotony.

Then

$$\sum_{a < x < b} e^{2\pi i f(x)} = \frac{1+i}{\sqrt{2}} \sum_{f'(a) \leq n \leq f'(b)} \frac{e^{2\pi i(f(x_n)-nx_n)}}{\sqrt{f'(x_n)}} + O(\ln U) + O(\sqrt{A}) + O(f'(b)-f'(a)).$$

(for proof see A. A. Karatsuba [10] p.18-25).

In our case $f(u) = \frac{kx}{u^2}$, $A = \frac{x^{4\varphi-1}}{k}$, $U = x^\varphi$. All the conditions of Theorem 3 are satisfied : $U \gg A$, $A \gg 1$

$$\left(\frac{x^{4\varphi-1}}{k} \gg x^{4 \cdot 0.32 - 1 - 0.13} = x^{0.15} \gg 1 \right).$$

Denote $t = -k \cdot x^{1-3\varphi}$, $u_n = -\left(\frac{2kx}{n}\right)^{1/3}$, $c_1 = 3 \cdot 2^{-2/3}$, $c_2 = 3^{1/2} \cdot 2^{-1/3}$. Then

$$S_k = \frac{1+i}{\sqrt{2}} \cdot \sum_{n=2t-1}^{(t/4)+1} \frac{e^{2\pi i c_1 \cdot (kx)^{1/3} \cdot n^{2/3}}}{c_2 \cdot (kx)^{-1/6} \cdot n^{2/3}} + O(k \cdot x^{1-3\varphi}) + O\left(\frac{x^{2\varphi-1/2}}{\sqrt{k}}\right) + O(\log x).$$

But

$$\sum_{k=1}^N \frac{k \cdot x^{3\varphi-1}}{N} \ll N \cdot x^{1-3\varphi} \ll x^{1-3 \cdot 0.32 + 0.13} = x^{0.17}$$

and

$$\sum_{k=1}^N \frac{x^{2\varphi-1/2}}{\sqrt{k} \cdot N} \ll \frac{x^{2\varphi-1/2}}{\sqrt{N}} \ll x^{2 \cdot 0.34 - 0.50} \ll x^{0.18}$$

Now we make the Abel transformation and obtain

$$(14) \quad S_k \ll k^{-1/2} \cdot x^{2\varphi-1/2} \cdot \max_m |S(m)|,$$

where

$$S(m) = \sum_{n=2t-1}^m e^{2\pi i c_1 (kx)^{1/3} \cdot n^{2/3}}$$

and $2t-1 \leq m \leq (t/4)+1$.

Lemma 6 (Weil). Let $k \in \mathbb{N}$, $k \geq 2$, $K = 2^{k-1}$, $f \in C^k(a, b)$ and $0 < \lambda_k \leq f^{(k)}(\xi) \leq h \cdot \lambda_k$ for every $\xi \in (a, b)$.

Then

$$\left| \sum_{a < n < b} e^{2\pi i f(n)} \right| \ll (b-a) \cdot \lambda_k^{\frac{1}{2K-2}} \cdot h^{\frac{2}{K}} + (b-a)^{1-2/K} \cdot \lambda_k^{-\frac{1}{2K-2}}$$

(for proof see Karatsuba [10] p.26-29).

We apply Lemma 6 for $f(n) = c_1 \cdot (kx)^{1/3} \cdot n^{2/3}$, $a = 2t - 1$, $b = m$ and $k = 5$. Then $K = 16$, $h = O(1)$, $\lambda_5 = \frac{x^{13\varphi-4}}{k^4}$.

We obtain:

$$(15) \quad S(m) \ll k^{26/30} \cdot x^{(26-77\varphi)/30} + k^{121/120} \cdot x^{(121-367\varphi)/120}$$

From (14)

$$(16) \quad |S_k| \ll k^{11/30} \cdot x^{(11-17\varphi)/30} + k^{61/120} \cdot x^{(61-127\varphi)/120}$$

$$\sum_{k=1}^N \frac{|S_k|}{N} + \frac{x^\varphi}{N} \ll N^{11/30} \cdot x^{(11-17\varphi)/30} + N^{61/120} \cdot x^{(61-127\varphi)/120} + \frac{x^\varphi}{N}$$

We choose N to be the integer part of $x^{(47\varphi-11)/41}$. (This choice is correct because $(47 \cdot 0.34 - 11)/41 = 0.12 \dots < 0.13$.)

For this choice of N , $x^{59\varphi} \gg N^{17} \cdot x^{17}$ (this is equivalent to $\varphi \geq 17/54 = 0.31 \dots$) and $N^{11/30} \cdot x^{(11-17\varphi)/30} \gg N^{61/120} \cdot x^{(61-127\varphi)/120}$.

Finally we obtain

$$(17) \quad |S(x^\varphi, 2x^\varphi)| \ll x^{\frac{11-6\varphi}{41}} \quad \square$$

Proof of Theorem 2. We choose $\theta = 17/77 = 0.2207792 \dots$

From Lemma 1 and Lemma 2 there follows

$$|S(x^{0.34}, x^{1/2})| \ll x^{\frac{1-0.34}{3}} = x^{0.22}$$

From Lemma 1 and Lemma 3 we obtain

$$|S(x^\theta \sqrt{\log x}, x^{25/77})| \ll x^{\frac{1+25/77}{6}} = x^{17/77}$$

From Lemma 1 and Lemma 4 we have

$$|S(x^{25/77}, x^{0.34})| \ll x^{\frac{11-6 \cdot 25/77}{41}} = x^{17/77} \quad (25/77 = 0.32 \dots < 0.34). \quad \blacksquare$$

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